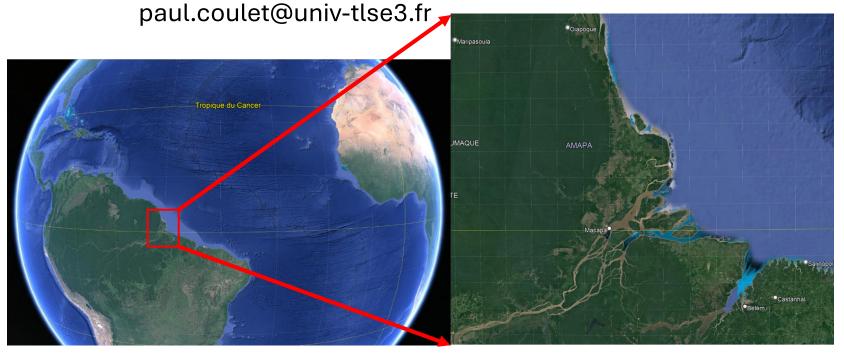
2021 historical flood and 2024 historical drought of the Amazon River: Seamless modelling of their impacts on the Amazon estuary water level

Paul COULET (LEGOS/Université de Toulouse, Toulouse, France) – 2nd year PhD student

Fabien Durand, Laurent Testut, Alice Fassoni-Andrade, Jamal Uddin Khan, Florence Toublanc, Leandro Guedes Santos, Daniel Medeiro Moreira









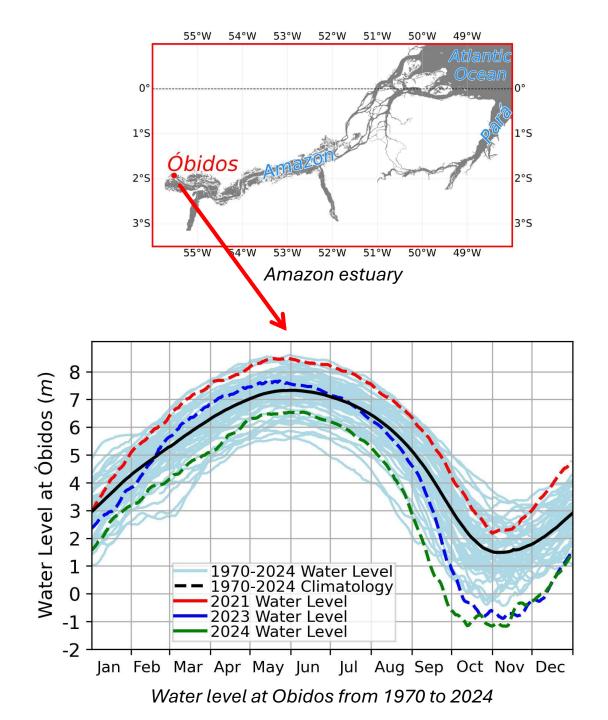






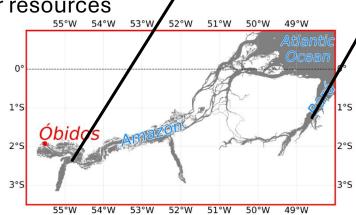
Introduction

- One of the world's largest estuaries:
 - No. 1 freshwater discharge in the world
 - 800km of estuary
- Increasing extremes occurrences (9 of the 10 highest floods have occurred in the last 15 years)
 - 2021 2nd highest flood ever recorded
 - 2023 lowest drought ever recorded
 - 2024 beat 2023 record-breaking drought
- High socio-economic vulnerability of the riverine population
 - Highly dependent on Amazon water resources
 - Exposed to water level extremes
- Poorly observed system



Introduction

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2021 flood at Santarém (source: TV Tapajós)

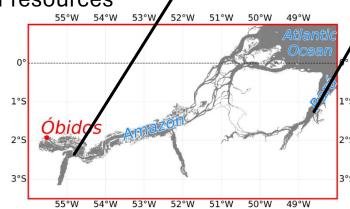


Unplanned urban area in Belém, 2017 (source: Brasil de Fato)

Introduction

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Urgent need to better understand the hydrodynamics of extreme events





2021 flood at Santarém (source: TV Tapajós)



Unplanned urban area in Belém, 2017 (source: Brasil de Fato)

Outline

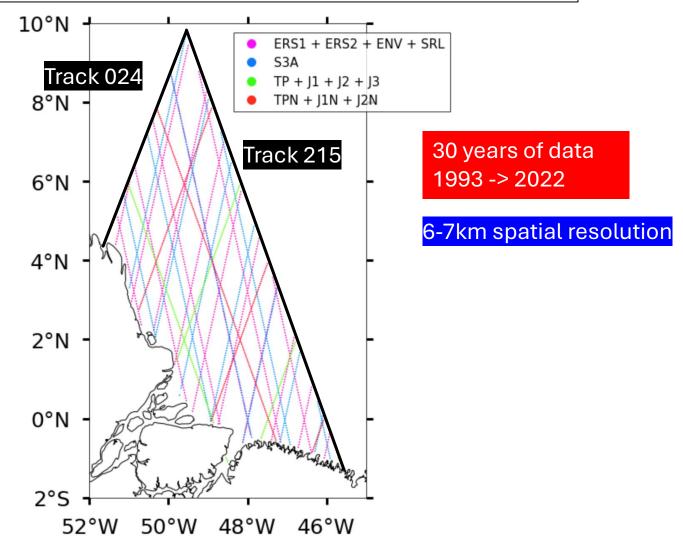
I. A regional multi-mission altimetric tidal atlas: PyAltide

II. Hydrodynamical modeling of the 2021 record-breaking flood and 2024 record-breaking drought of the Amazon estuary



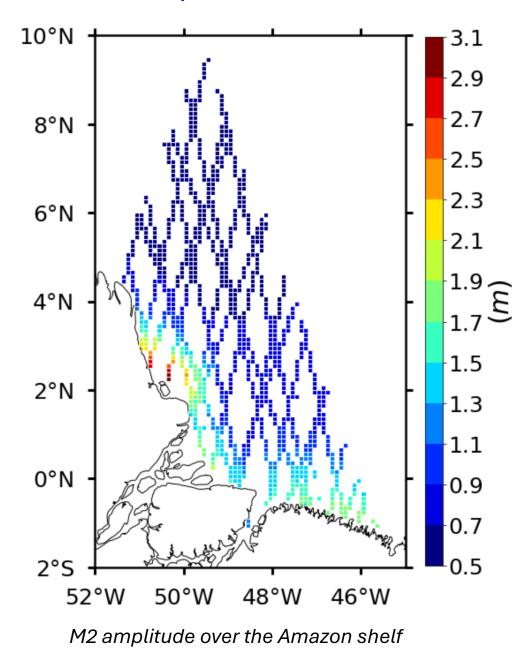
a. Input data

SLA from XTRACK-2022-L2P v2.1 (AVISO+, Birol et al. 2016)



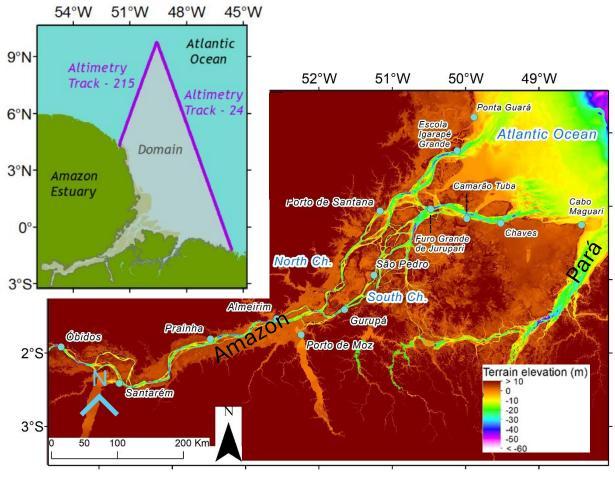
Available altimetric data within our modeling domain over the Amazon shelf

b. M2 amplitude over the Amazon shelf



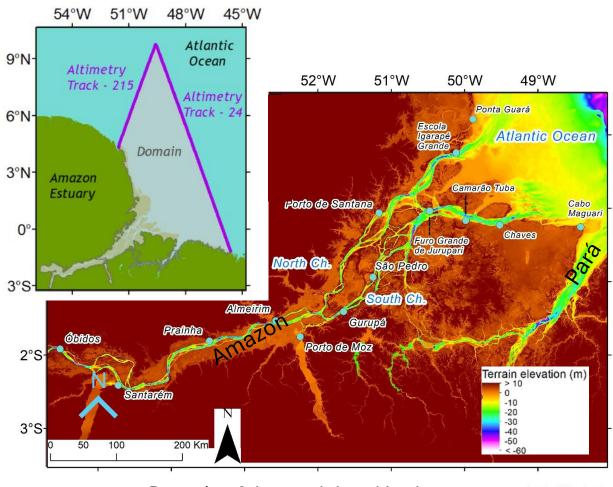
- High resolution (1/10°) coastal tidal atlas
- Code available in Python
- Non-stationary (not shown)
- Transferable over any coastal region

A robust validation tool of coastal tide in data-poor regions II. Hydrodynamic modeling of the 2021 record-breaking flood and 2024 record-breaking drought of the Amazon estuary

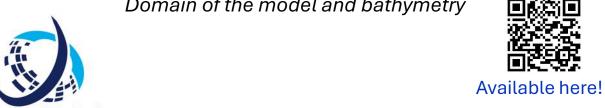


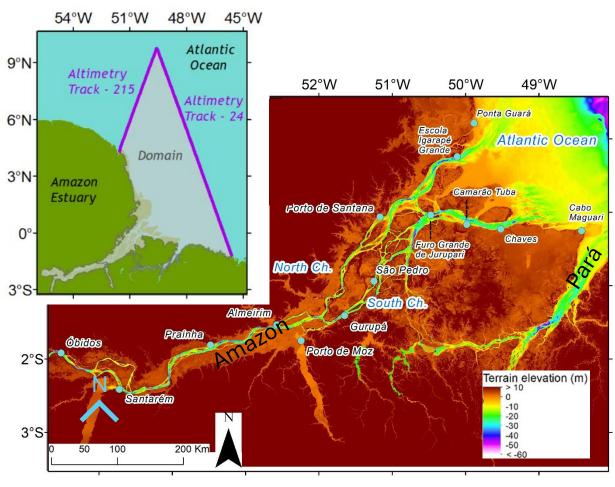
Domain of the model and bathymetry





Domain of the model and bathymetry

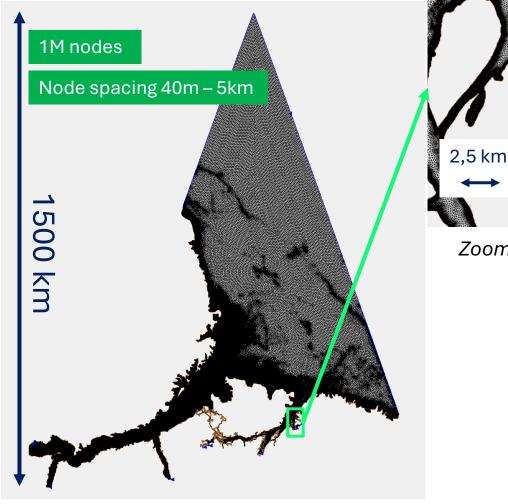




Domain of the model and bathymetry



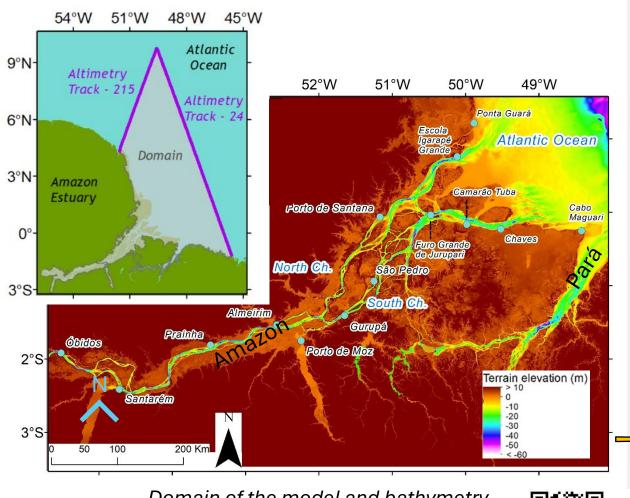
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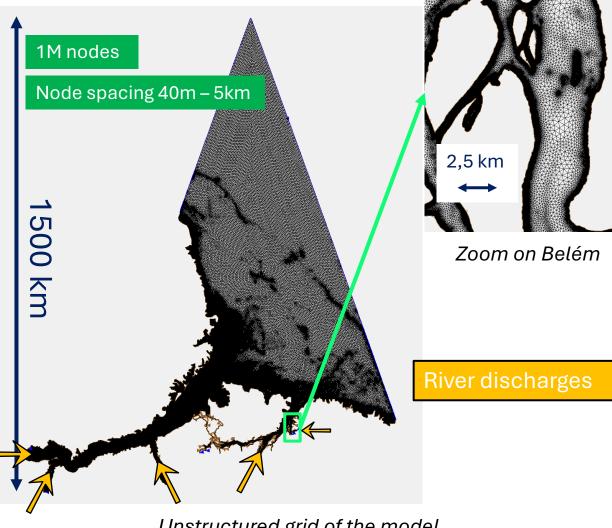
Zoom on Belém



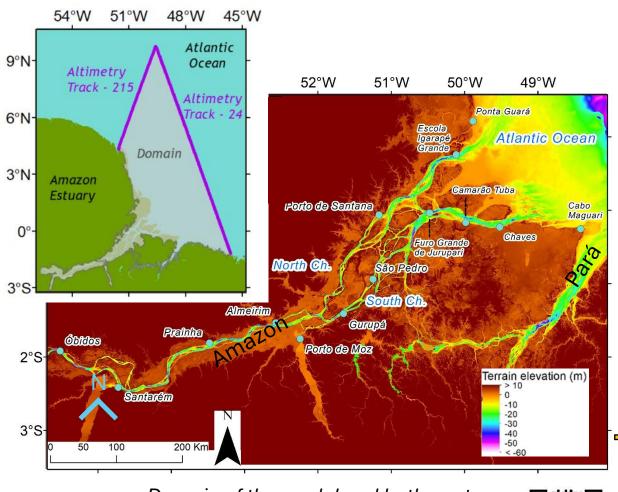




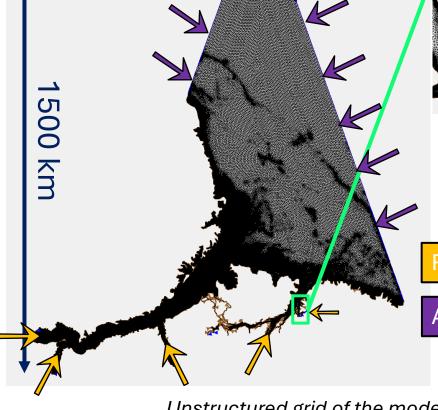
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Unstructured grid of the model

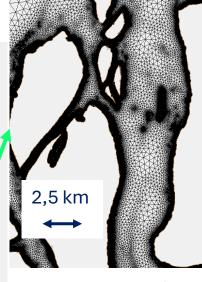


Domain of the model and bathymetry



1M nodes

Node spacing 40m – 5km



Zoom on Belém

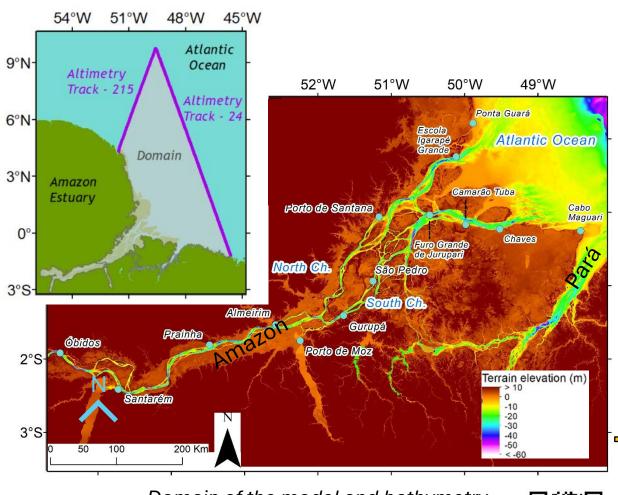
River discharges

Altimetric tide

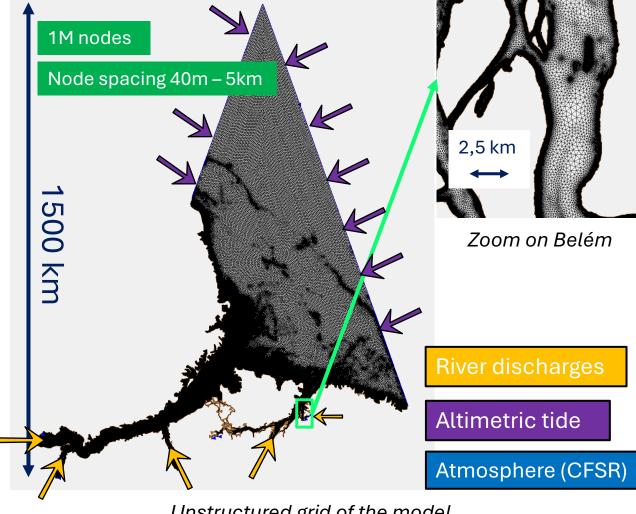
Unstructured grid of the model

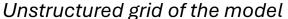


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Domain of the model and bathymetry



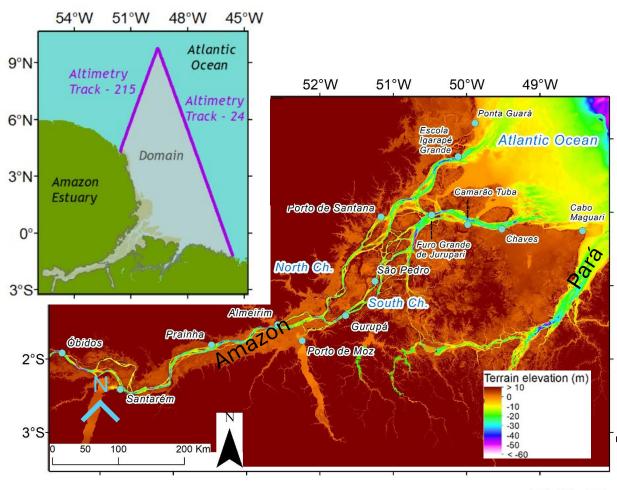




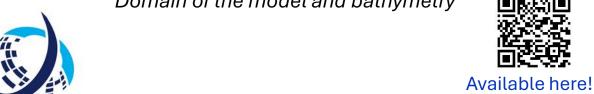
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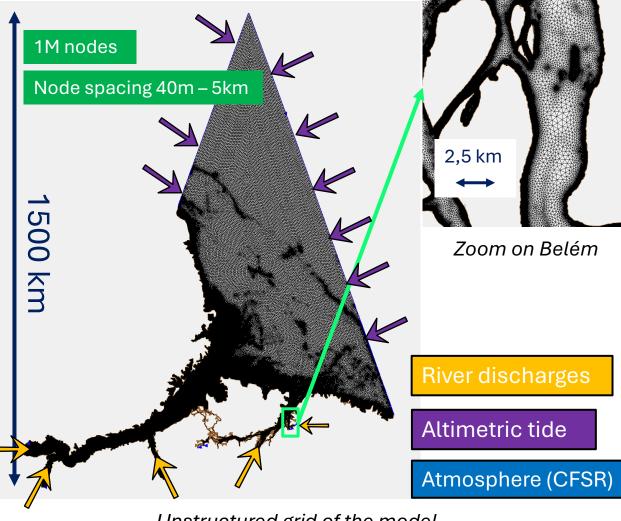
2,5 km

Zoom on Belém



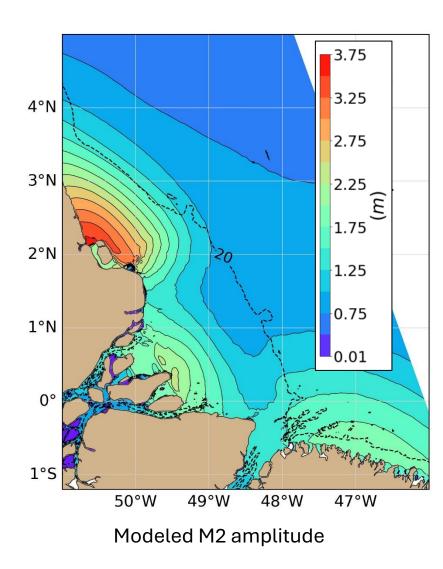




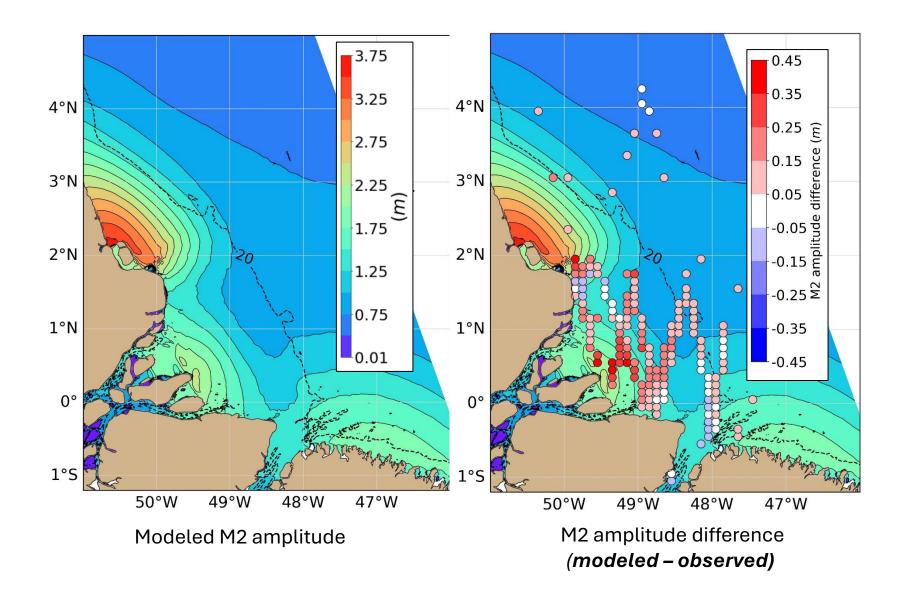


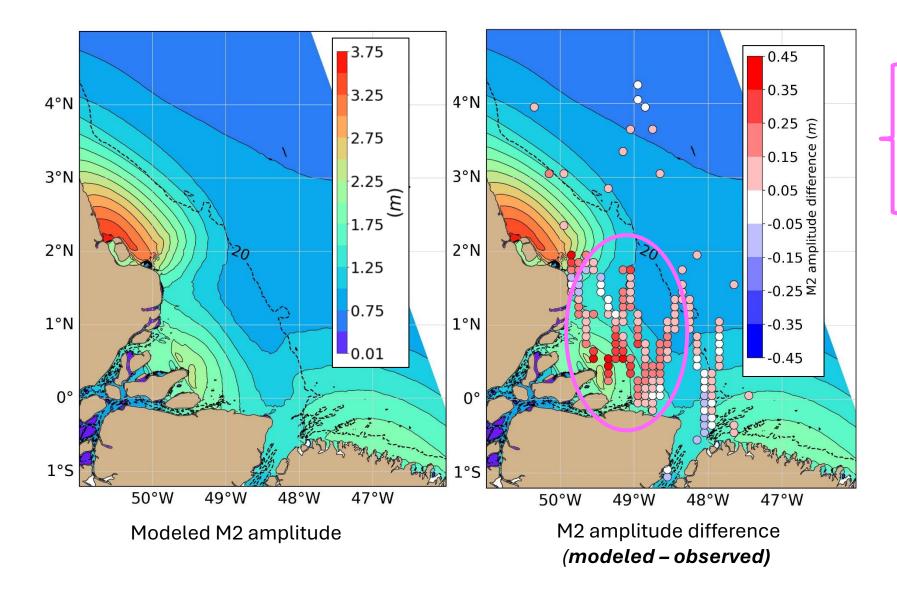
Unstructured grid of the model

- > Seamless high resolution 2DH cross-scale model based on SCHISM
- Simulation of the last decade 2014-2024

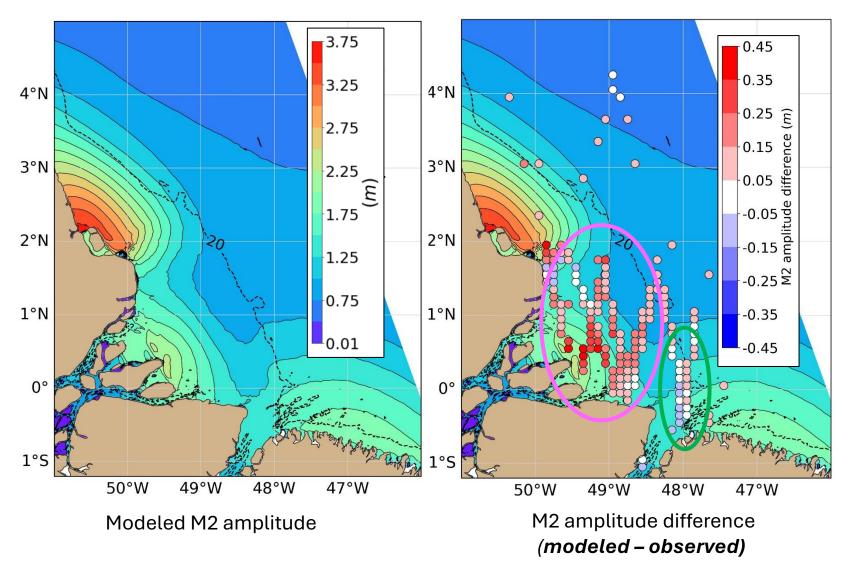


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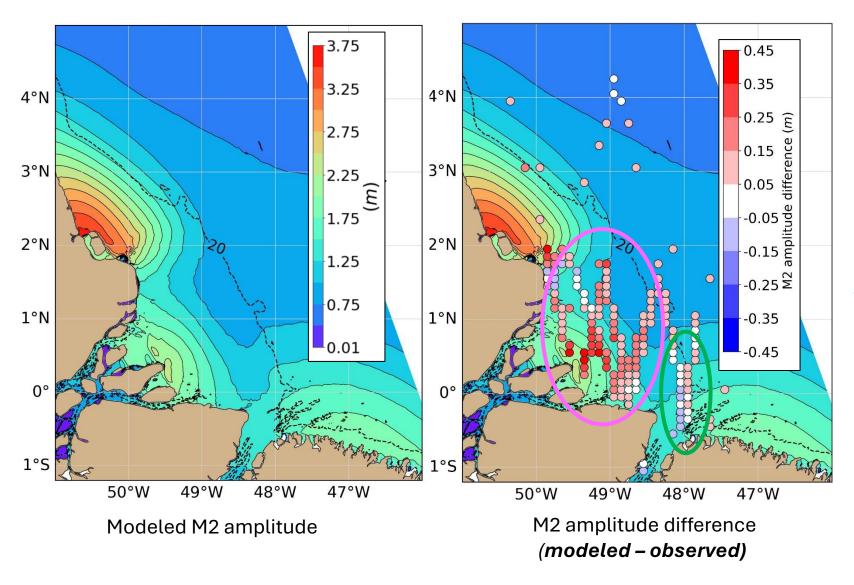




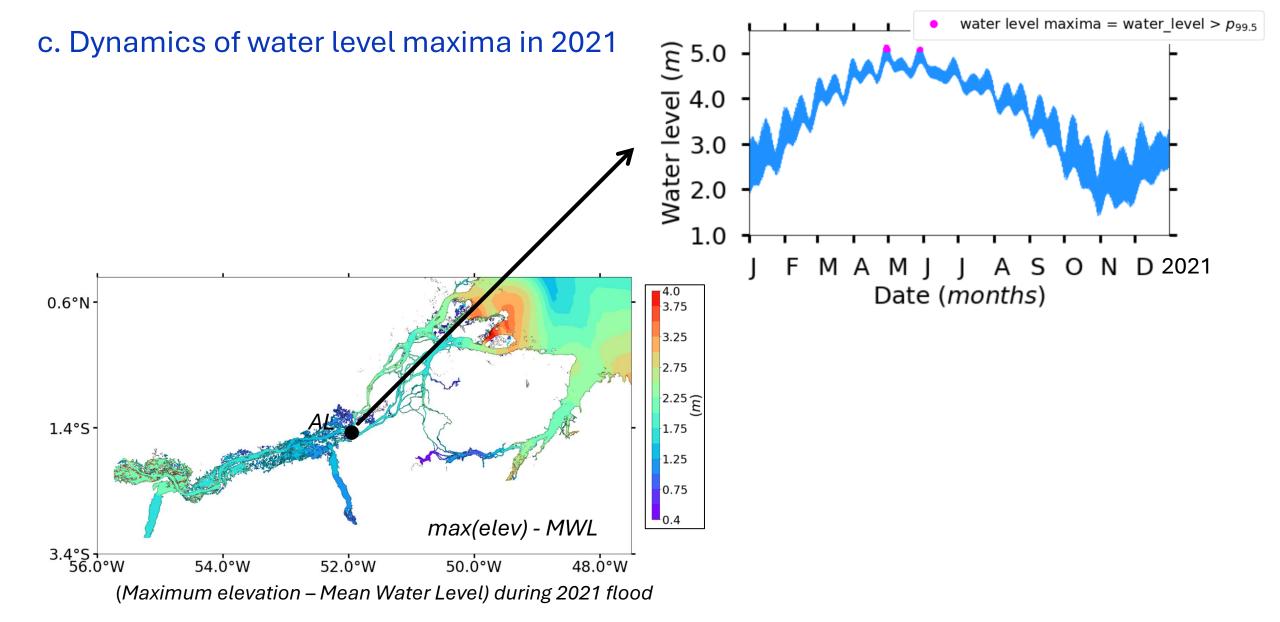
- Overestimation ~ 18 cm of M2 amplitude
- Regions with high difference (up to + 25 cm) correspond to resonating areas

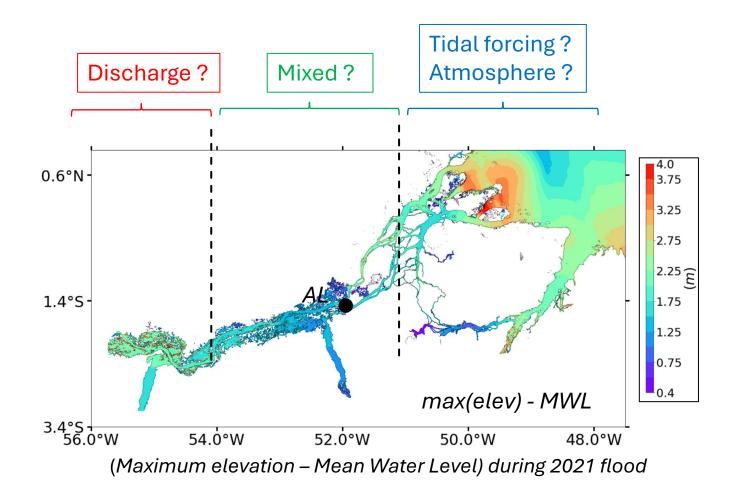


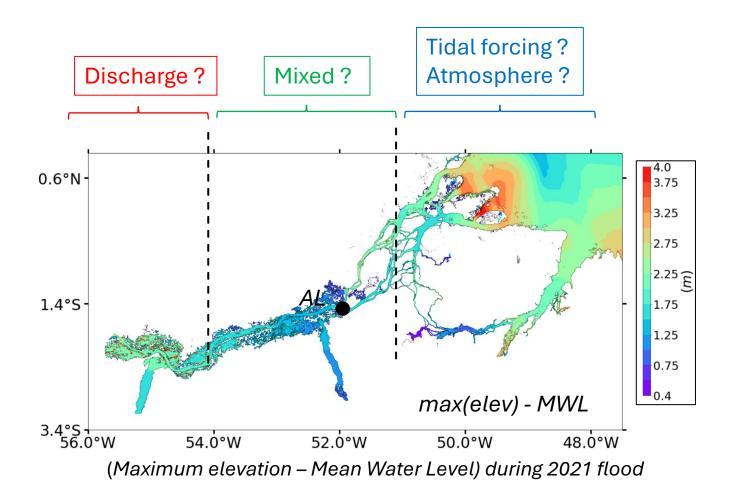
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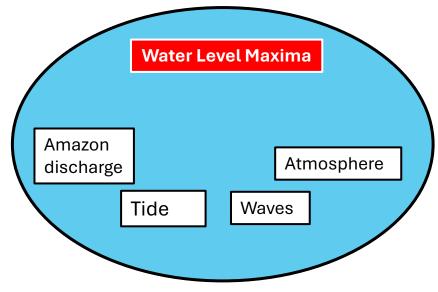
- Overestimation ~ 18 cm of M2 amplitude
- Regions with high difference (up to + 25 cm) correspond to resonating areas
- Underestimation ~ 10 cm of M2 amplitude
- Small residual bias still present after calibration
- > **13 cm** of mean tidal complex error in the estuary (not shown)
- > State of the art 2DH model



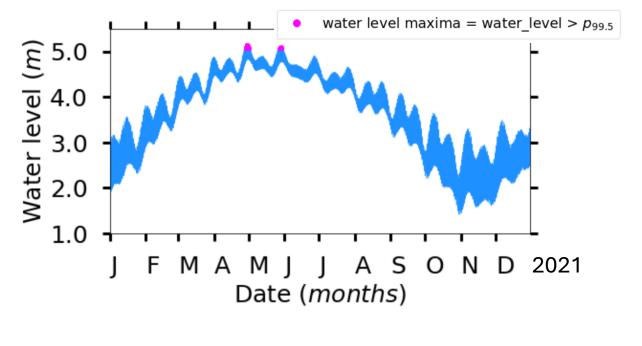




- ➤ A record-breaking year with contrasted patterns of SLA between upstream and downstream.
- But what drives the extremes?



Estuarine Water Level Maxima dynamics



water level maxima = water level > $p_{99.5}$ Water level (*m*) 0.5 0.7 0.7 0.7 c. Dynamics of water level maxima in 2021 1.0 Water Level Maxima ΜА Date (months) Direct influence Amazon Atmosphere discharge Tide Waves

Estuarine Water Level Maxima dynamics

water level maxima = water level > $p_{99.5}$ c. Dynamics of water level maxima in 2021 Water level (*m*) 0.5 0.7 0.0 0.0 0.1 5.0 1.0 Water Level Maxima ΜА Date (months) Direct influence Amazon Atmosphere discharge Waves Tide

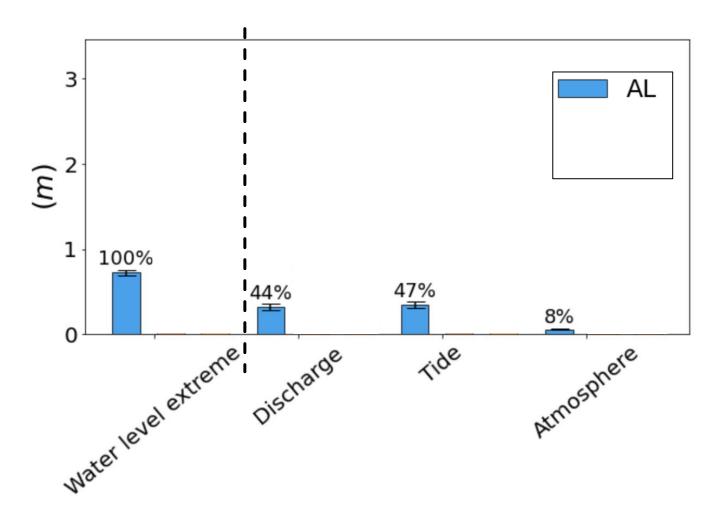
Estuarine Water Level Maxima dynamics

- What are the contributions of the different forcing factors?
- How these contributions vary spatially?

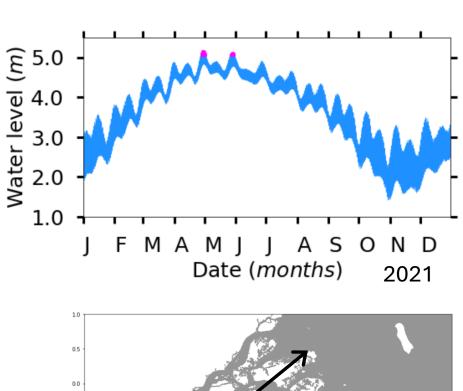
water level maxima = water level > $p_{99.5}$ c. Dynamics of water level maxima in 2021 5.0 1.0 **Water Level Maxima** ΜА Date (months) Direct influence Amazon Sensitivity tests of the model Atmosphere discharge Waves Tide

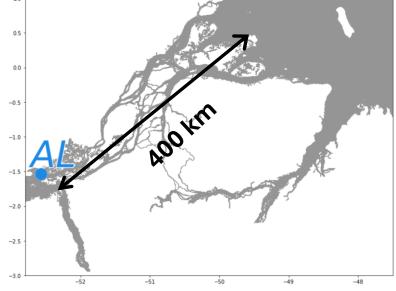
Estuarine Water Level Maxima dynamics

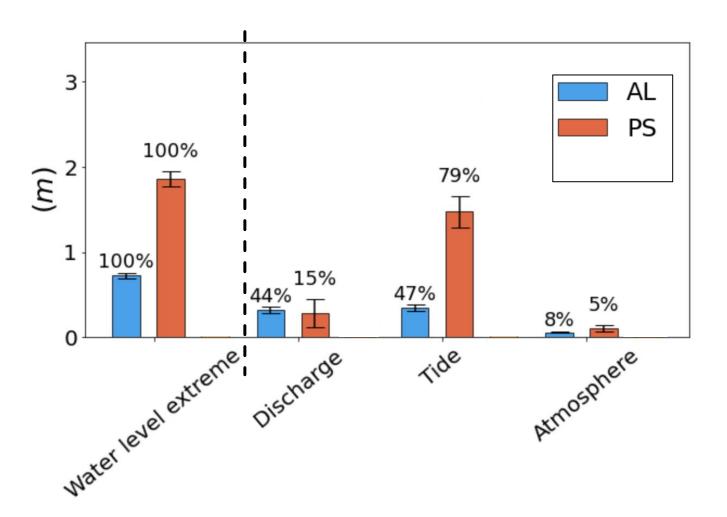
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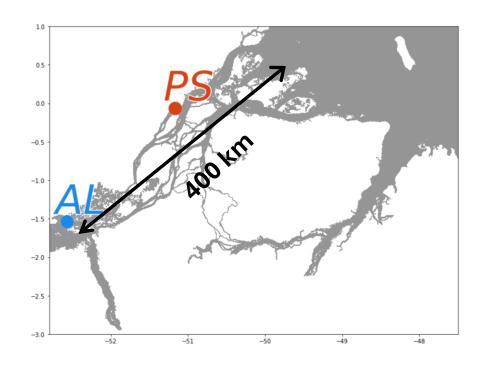


Typology of SLA during maxima for 2021 in the Northern Channel of the Amazon estuary

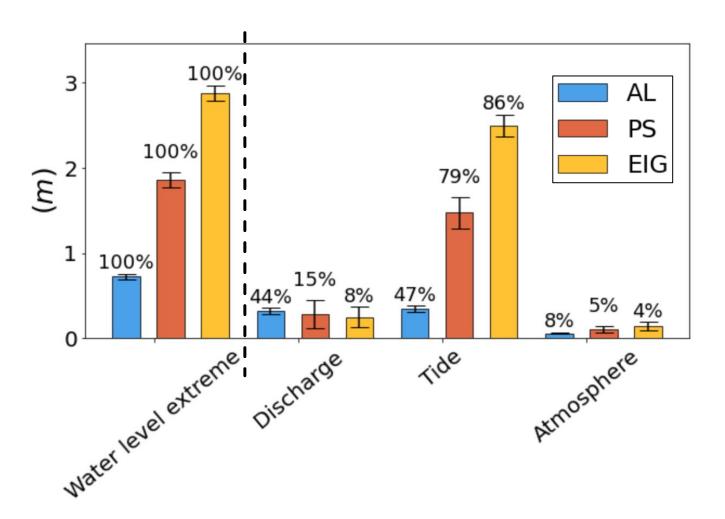


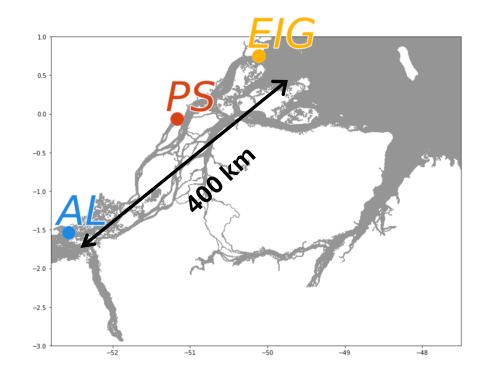




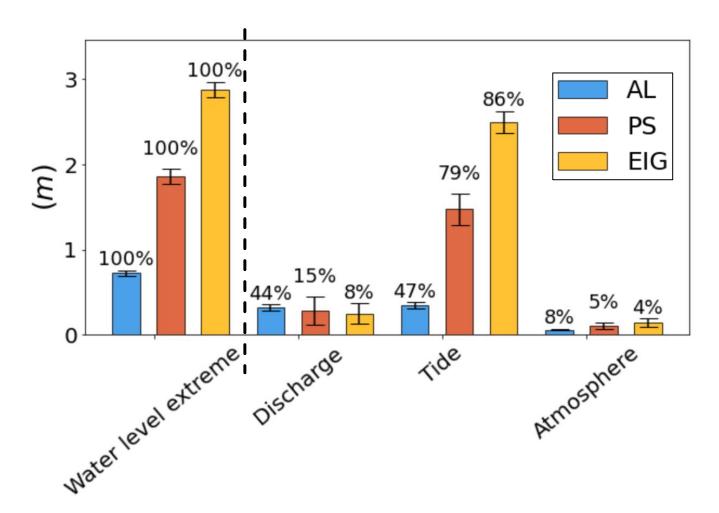


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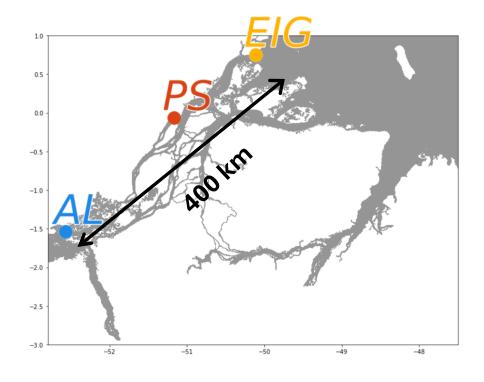




Typology of SLA during maxima for 2021 in the Northern Channel of the Amazon estuary



Typology of SLA during maxima for 2021 in the Northern Channel of the Amazon estuary



- ➤ Joint influence of river discharge, tide and atmosphere (trade wind bursts) in generating water level maxima
- Influence of the river discharge diminishes drastically downstream

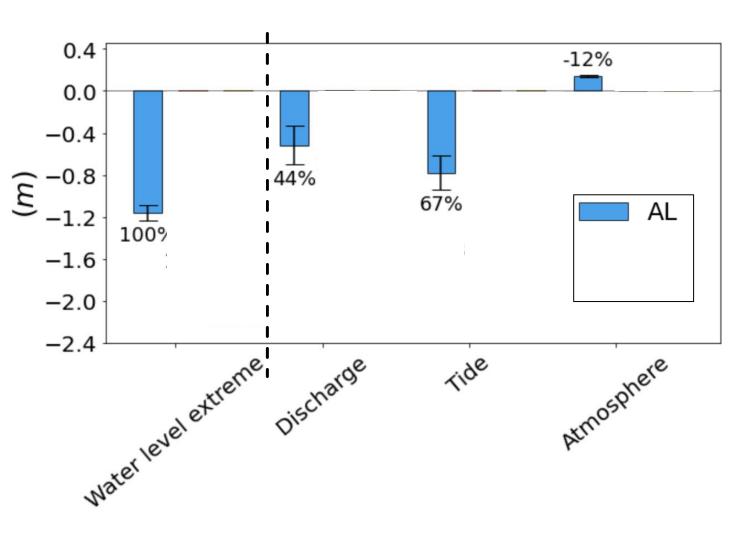
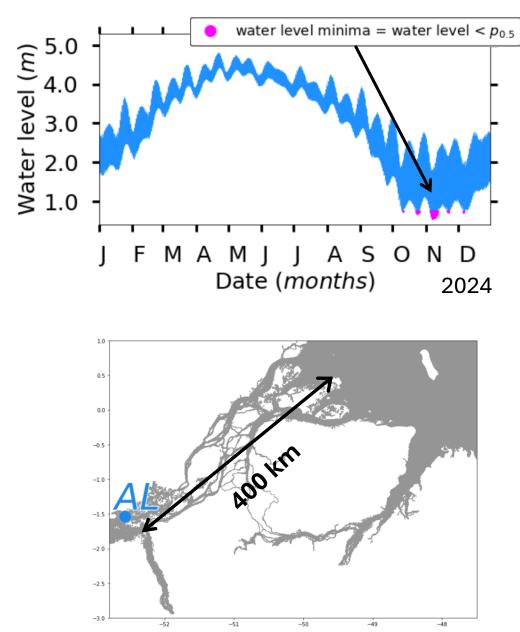


Fig12. Typology of water level minima for 2024 in the Northern Channel of the Amazon estuary



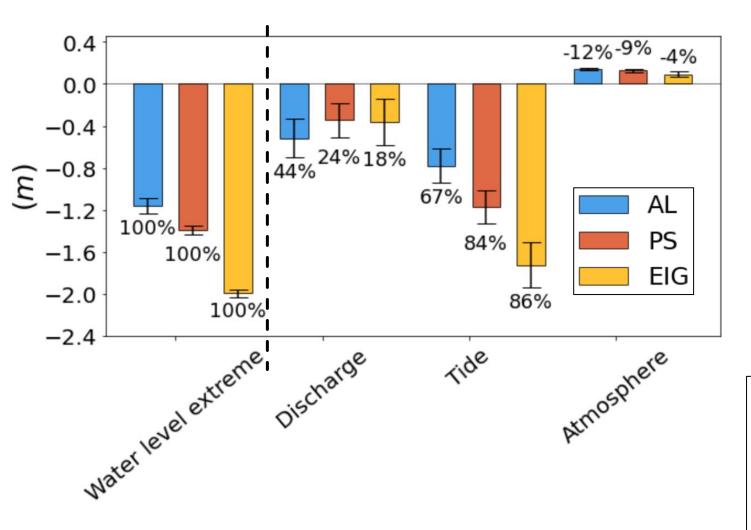
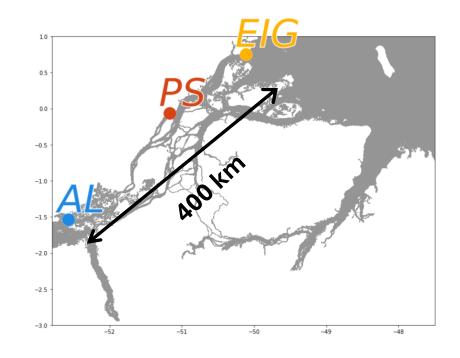


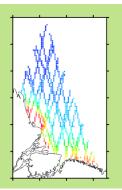
Fig12. Typology of water level minima for 2024 in the Northern Channel of the Amazon estuary



- Lack of river discharge and low tide are the main drivers of minima during drought
- Atmosphere has a negative contribution on minima generation
- Higher contribution of the tide upstream compared to its contribution to maxima

Conclusions

- PyAltide, a multi-mission altimetric tidal atlas
 - High resolution
 - Transferable to any coastal region (already available over Amazon Shelf and Madagascar)
 - Easy to use Python code
 - Accessible (contact: paul.coulet@univ-tlse3.fr)



- Seamless high-resolution cross-scale hydrodynamic model of the Amazon delta
 - Comprehensive domain from deep ocean to inner braided estuary
 - Quantitative tide validation using PyAltide atlas

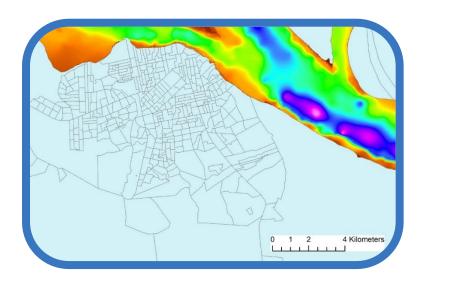


- > Tide, discharge and atmosphere (wind) all contribute to extreme events with strong spatial differences
- > As far as 400km inland, ~50% of SLA maxima and ~ 70% of minima is driven by tide
- Wind increases maxima intensity while reducing minima intensity
- For extreme events analysis, it is necessary to have a cross-scale non-linear model, even far inland.
- How does the extreme events hazard impacts the riverine society?

Perspectives: Socio-economic impacts (in collaboration with Anouch Missirian)



Socio-economical variables from census data from 1990 to 2010 1990 2000 2010



Housing quality / Education / Characteristics of the household / Mobility / Surroundings

Perspectives: Socio-economic impacts (in collaboration with Anouch Missirian)

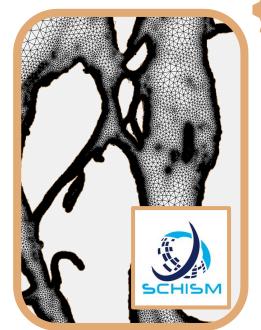


Hindcast hazard from 1980 to 2010 using our hydrodynamical model

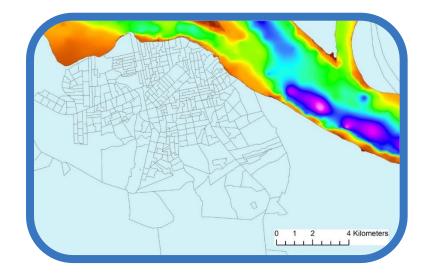


Socio-economical variables from census data from 1990 to 2010









Housing quality / Education
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Perspectives: Socio-economic impacts (in collaboration with Anouch Missirian)



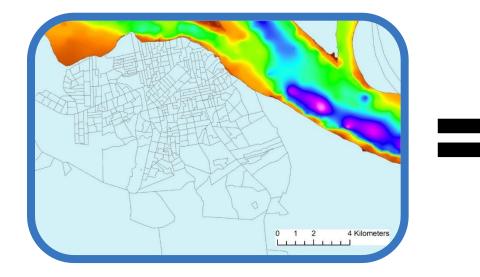
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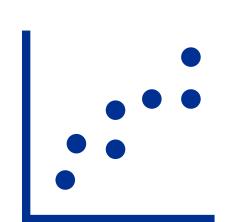


Socio-economical variables from census data from 1990 to 2010



Estimate the causal effect of hazard experience on riverine society





Housing quality / Education / Characteristics of the household / Mobility / Surroundings

Thanks for your attention!

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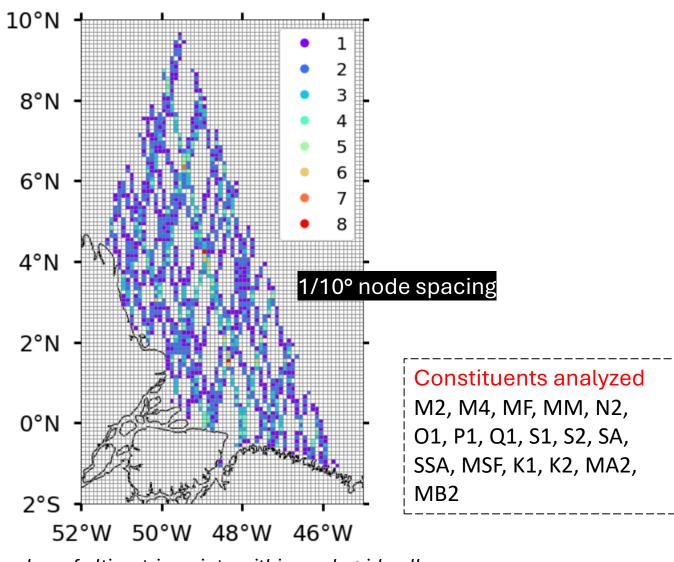
Recent Open Access publications:

Coulet, P., Durand, F., Fassoni-Andrade, A., Khan, J., Testut, L., Toublanc, F., Santos, L.G., Moreira, D.M., 2025b. **Assessment of the hydrodynamical signature of the record-breaking 2021 flood along the Amazon estuary**. Ocean Modelling 196, 102536.

Coulet, P., Durand, F., Fassoni-Andrade, A., Khan, M.J.U., Testut, L., Toublanc, F., Santos, L.G., Moreira, D.M., Azevedo, A., 2025a. **Dynamics of Yearly Maximum Water Levels in the Amazon Estuary.** Estuaries and Coasts 48, 54.

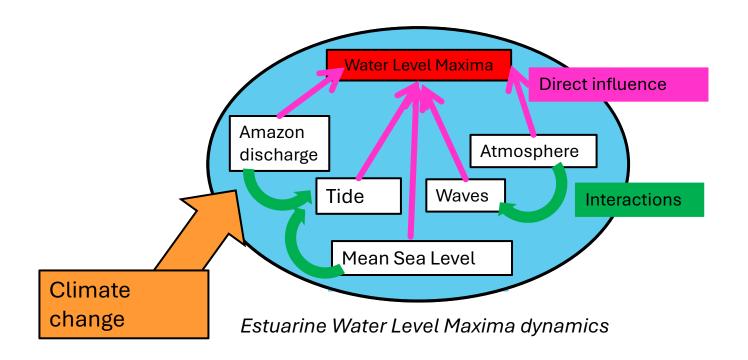


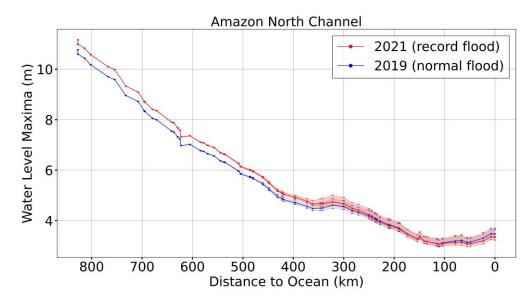
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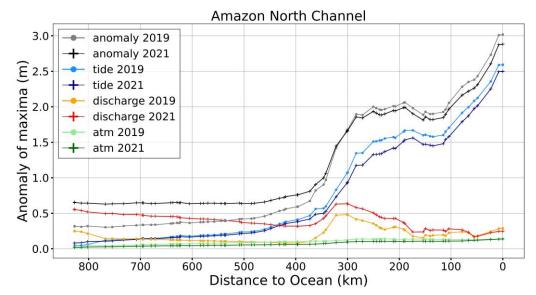


XTRACK SLA Gridding Concatenated SLA Tidal constituents selection Utide Harmonic Analysis Gridded tidal constituents

Number of altimetric points within each grid cell







Along-estuary distribution of water level maxima in Amazon North Channel for 2021 (record flood) vs 2019 (normal flood). Min-max deviation is also displayed

Along-estuary distribution of the water level anomaly during the maxima events of 2021 (record flood) and 2019 (normal flood), along with its various contributors (river discharge, tide, atmospheric forcing).

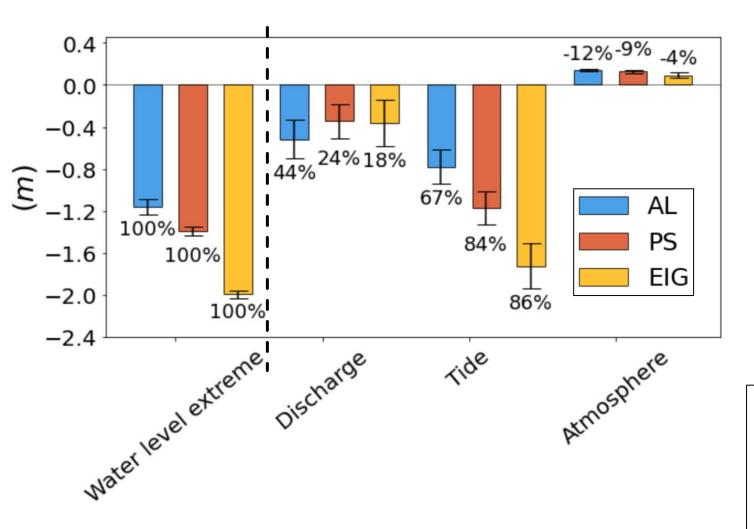
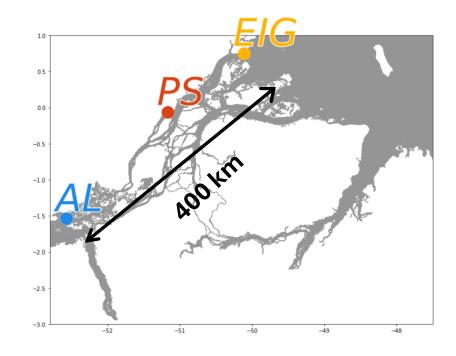


Fig12. Typology of SLA during minima for 2023 in the Northern Channel of the Amazon estuary



- River discharge and tide are the main drivers of minima during drought
- High contribution of the tide, either upstream or downstream

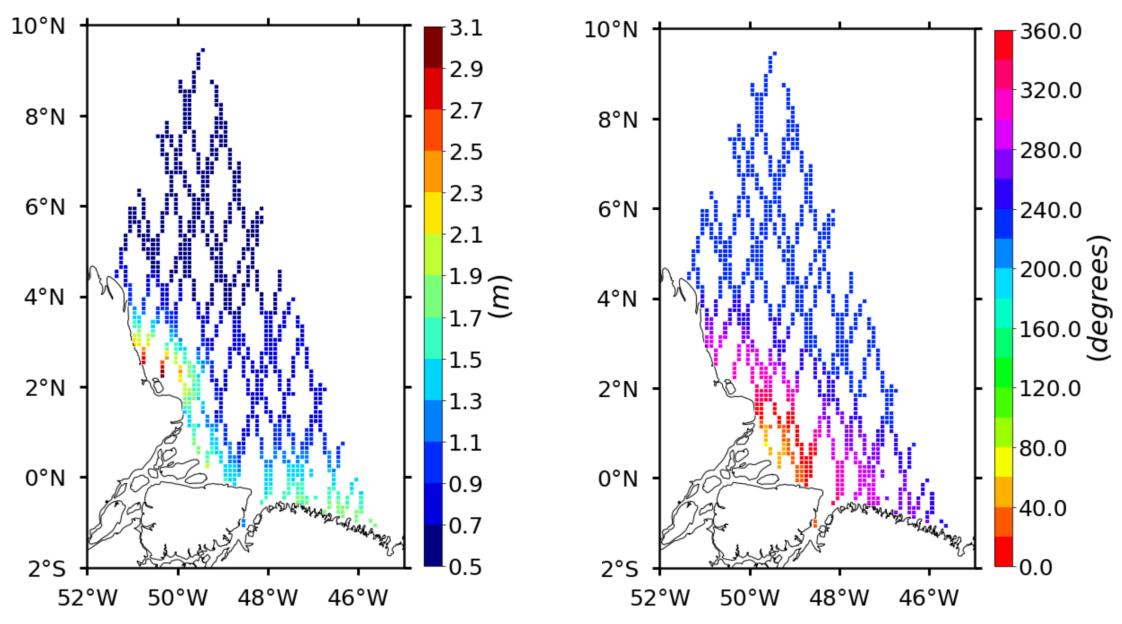


Fig. M2 Amplitude and phase from PyAltide

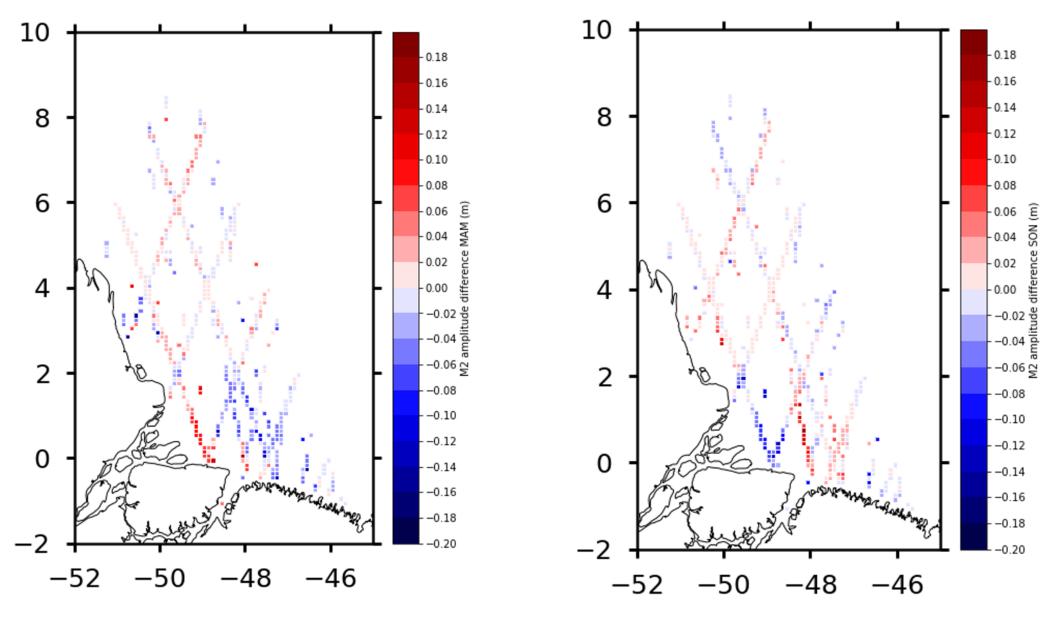


Fig. M2 seasonal anomaly "DJF" (left) M2 seasonal anomaly "SON" (right)

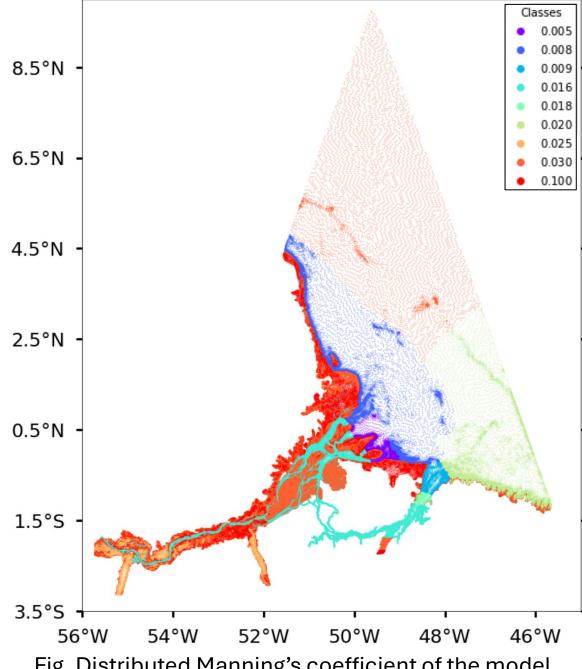
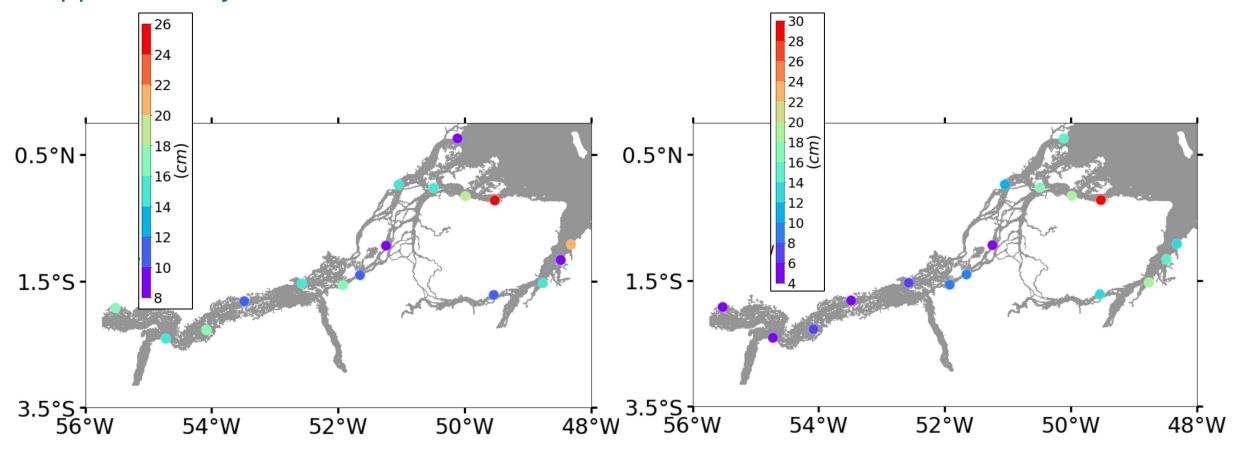
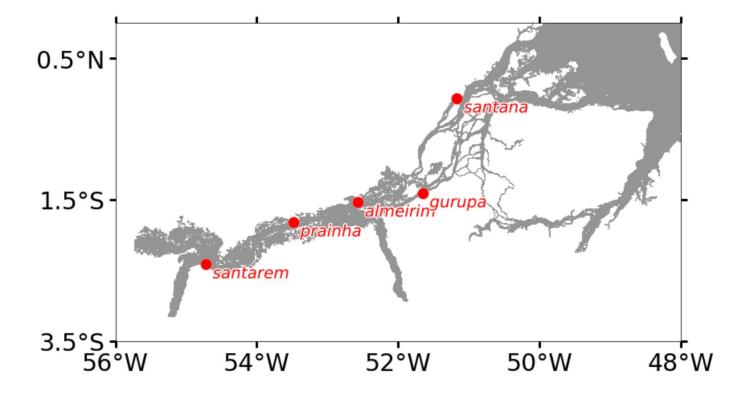


Fig. Distributed Manning's coefficient of the model



Total complex error for M2, S2, M4, MM, MSf in 2020 drought period

Total complex error for M2, S2, M4, MM, MSf in 2020 flood period



Stations	Model	Observed
santarem	6.85	6.62
almeirim	3.63	3.66
prainha	4.85	4.8
gurupa	2.74	2.36
santana	1.45	1.86

Fig. Mean water level comparison between model and observations (Callède et al. 2013)

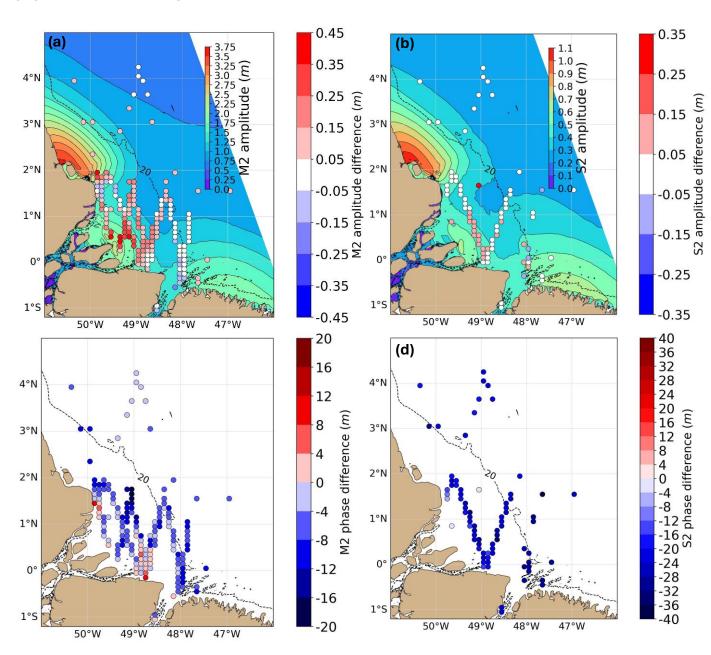


Fig. Amplitude difference (modeled – observed) of the main oceanic tidal constituents M2 (a) and S2 (b). Phase difference (modeled –observed) of M2 (c) and S2 (d) tidal constituents.

3. Socio economical impacts

3.1 Results of small survey in the upstream estuary

Impacts of the river drought on riparian population

Fishery

Education access (drying of navigation channels)

Goods transportation

High prices for consumables

Disruption of shipping channels for trade

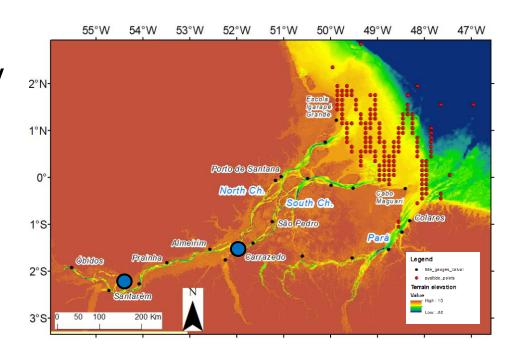
Impacts of the river flood on riparian population

Livestock management

Furniture loss/ house damaging

Education access (flooded school)

Crop field damages (mud deposits)



- + Risk perception
- + Adaptation mechanisms

3. Socio-economical impacts

3.5 Bits of methodology

Main Objective: Measure the effect of the water level maxima hazard Di (the treatment) on the socio-economic variables Yi (the outcomes)

Two main issues:

- The Fundamental Problem of Causal Inference
- The Fundamental Problem of Statistic Inference

Rubin Causal Model

 $D_i = 1$ the unit i receives the treatment

 $D_i = 0$ the unit i doesn't receives the treatment

$$egin{aligned} Y_i &= egin{cases} Y_i^1 & ext{if } D_i = 1 \ Y_i^0 & ext{if } D_i = 0 \ &= Y_i^1 D_i + Y_i^0 (1 - D_i) \end{aligned}$$

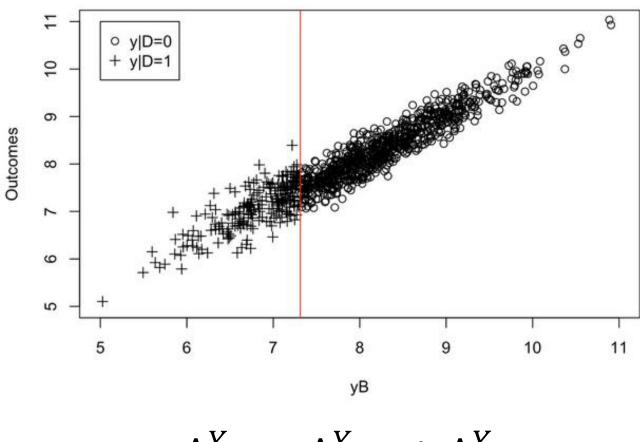
Definition 1.1 (Potential outcomes) For each unit i, we define two potential outcomes:

- Y_i^1 : the outcome that unit i is going to have if it receives the treatment,
- Y_i^0 : the outcome that unit i is going to have if it does not receive the treatment.

3. Socio-economical impacts

3.5 Bits of methodology

The Fundamental Problem of Casual Inference

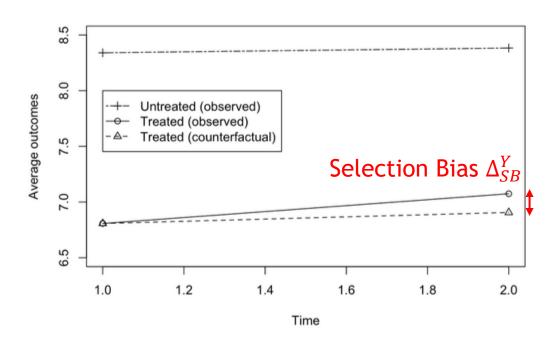


$$\Delta_{TT}^{Y} = \Delta_{WW}^{Y} + \Delta_{SB}^{Y}$$

$$egin{aligned} \Delta_{TT}^Y &= \mathbf{E}[Y_i^1 - Y_i^0 | D_i = 1] \ &= \mathbf{E}[Y_i^1 | D_i = 1] - \mathbf{E}[Y_i^0 | D_i = 1] \end{aligned}$$

Unobserved quantity (The counterfactual

$$\Delta_{WW}^Y = \mathbf{E}[Y_i|D_i=1] - \mathbf{E}[Y_i|D_i=0]$$



- 3. Socio-economical impacts
 - 3.5 Bits of methodology

How to estimate the Δ_{TT}^{Y} in our case ?

- Instrumental Variables approach (IV), it relies on finding a plausibly exogeneous source (i.e. not the flooding hazard) of variation of the outcomes both on the flooded and not flooded
- Difference In Differences (DID), the difference between flooded and not flooded before the flooding hazard occurrence is used to approximate selection bias.
- Nearest neighbor matching (NNM), the M closest non flooded sectors for each flooded sector, we
 compute the average outcome of the M twins to be the imputed counterfactual mean for the flooded
 sector

3. Socio-economical impacts

- 3.6 What do we need? The key ingredients (non-exhaustive list)
- A wide variety of censed socio-economic variables (income, household composition, mobility, etc.) on the riverine population but also more inland, with temporal depth.
- A good bathymetry and a good hydrodynamic model of the region.
- A comprehensive description of your hazard. The resolution of the hazard must at least equal the spatial scale of the censed sectors. As for the temporal description, one must have information on the hazard description before the first timestamp of the censed data.
- If you want to make in-depth study on **causality**, it is better to dimension surveys such that they **cover a wide panel of hazard intensity and socio-economic situations of the censed cities or communities**. This should be done at **multiple timestamps**, within which hazard occurs. This will allow the use of econometric methods to reduce the uncertainty on your sample-based counterfactual.