

# Application of Deep learning (DL) in the Gulf of St. Lawrence and Estuary

François Roy<sup>(1)</sup>, Gregory Smith<sup>(1)</sup>, Jean-Philippe Paquin<sup>(1)</sup>,  
Simon St-Onge Drouin<sup>(2)</sup>, Charlie Hébert-Pinard<sup>(1)</sup>

1 – Environment and Climate Change Canada (ECCC)

2- Department of Fisheries and Oceans (DFO) Canada



# Topics

- Context and objectives
  - Gulf of St. Lawrence (GSL) circulation, tides, flow separation, challenges
- Datasets (ocean model)
- Machine Learning (ML) models
  - LST<sub>bt</sub>, Anemoi
  - List of training/inference experiments
- ML results
  - 24h ML forecast compared to « ocean model truth »
- Conclusions and next steps

# Context and objectives

- GFD ML applications with hourly time steps and tides, not common
- The coastal environment of the Gulf of St. Lawrence is relatively complex, ML training design is not straightforward
  - tides, estuarine circulation, strong seasonal cycles, evolving stratification at different time scales, steep topography features, sea ice, internal waves, ...
- Explore how ML techniques can emulate sea surface height and currents in a coastal environment, including tides
- Explore and test barotropic/baroclinic separation to address the issue of limited information from 3D outputs (footprints of HF in geophysical fields → tide aliasing ...)

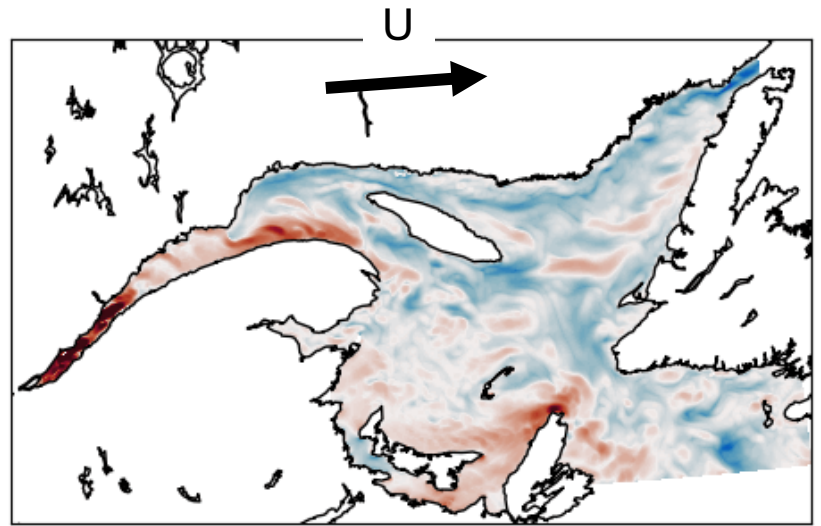
2023012000

# Physical model outputs: training dataset (hourly)

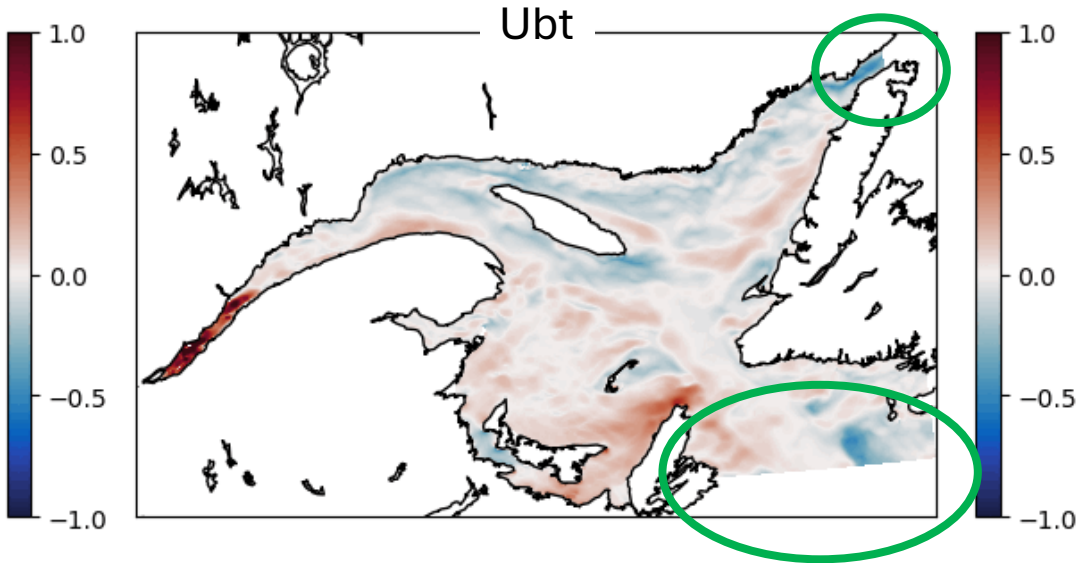
Total

Tides, Storm surge  $f(SSH_{BDY}, Ubt_{BDY}, Vbt_{BDY})$ , remote

**A**  
Surface  
current (U)



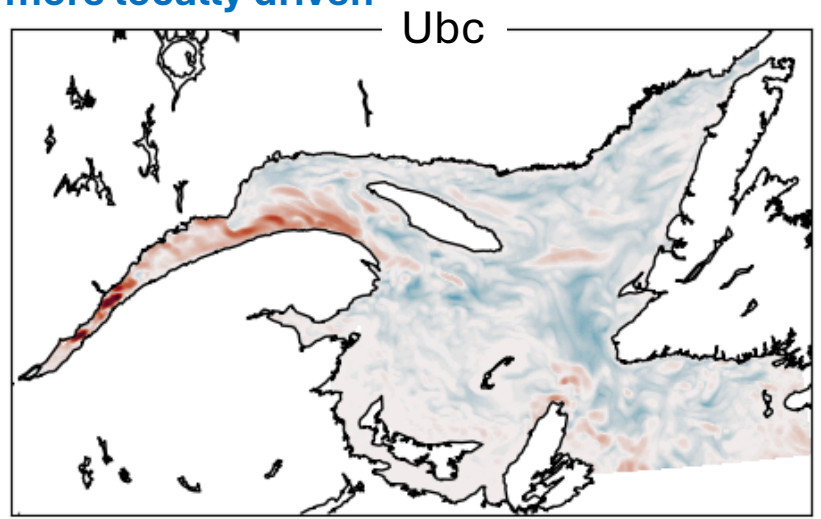
**B**  
Barotropic  
component  
(U avg over water  
column, z)



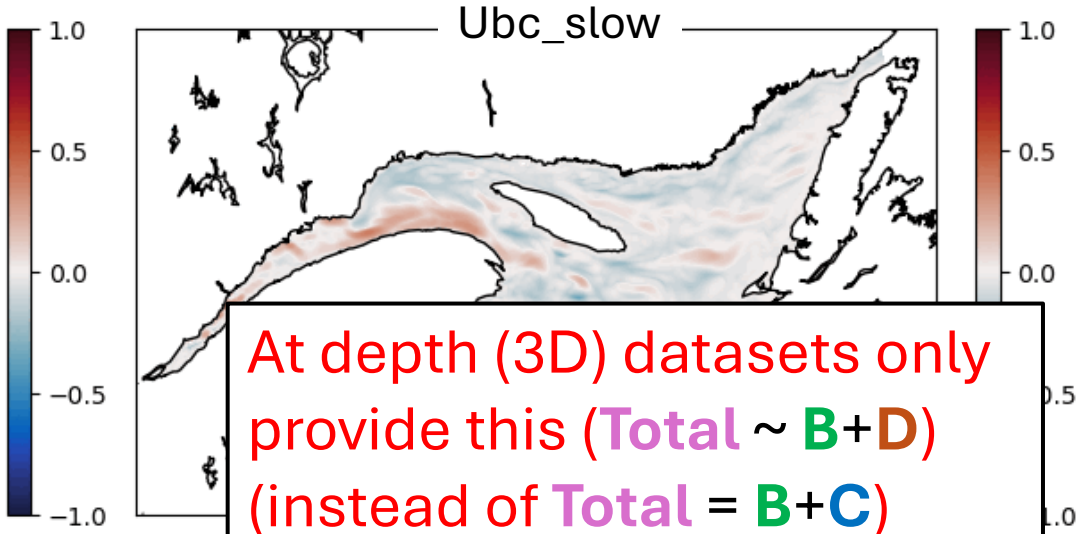
Tides (local rectification),  
 $f$ (stratification, bathymetry), Surface winds  
more locally driven

Slow baroclinic circulation  
 $f$ (large scale density flow)

**C**  
Surface  
baroclinic  
residual (U)  
(A - B)



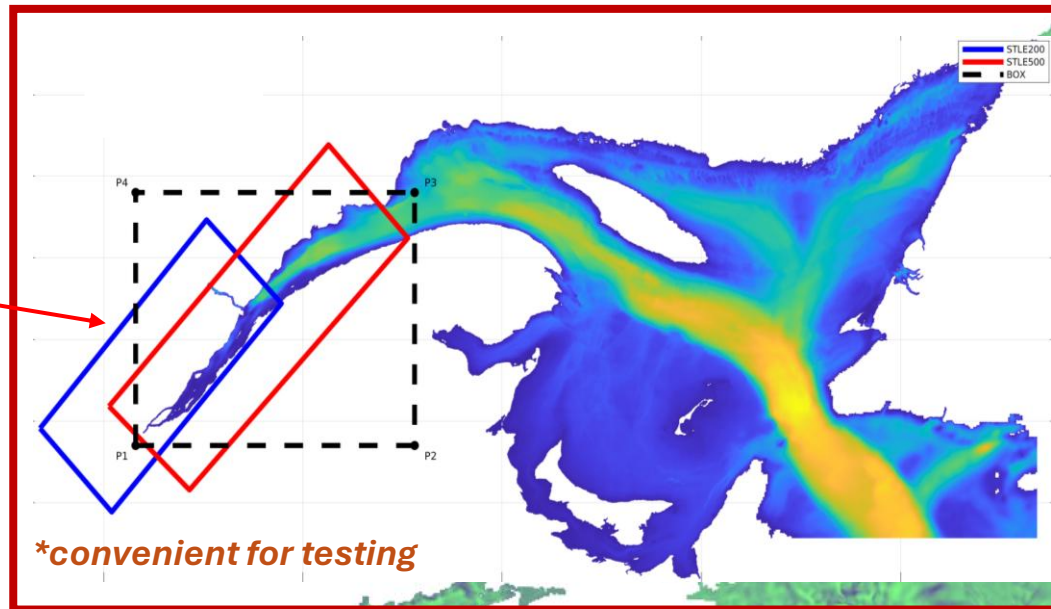
**D**  
Surface  
baroclinic  
residual  
(A - B)\*\*  
\*\*From daily  
avg outputs



At depth (3D) datasets only  
provide this (Total  $\sim$  B + D)  
(instead of Total = B + C)

# Datasets (ocean models)

Gulf of St. Lawrence (GSL): domain of interest\*



**CIOPS-E (1/36 ~2km)**

Canadian Ice-Ocean  
Prediction System  
East (operational)

Paquin, J.P., Roy, F., Smith, G.C. *et al.* A new high-resolution Coastal Ice-Ocean Prediction System for the East Coast of Canada. *Ocean Dynamics* 74, 799–826 (2024).

<https://doi.org/10.1007/s10236-024-01634-7>

**30 years hindcast dataset**

Internal wave surface footprint from steric height

Future  
downscaling  
applications  
No shown today

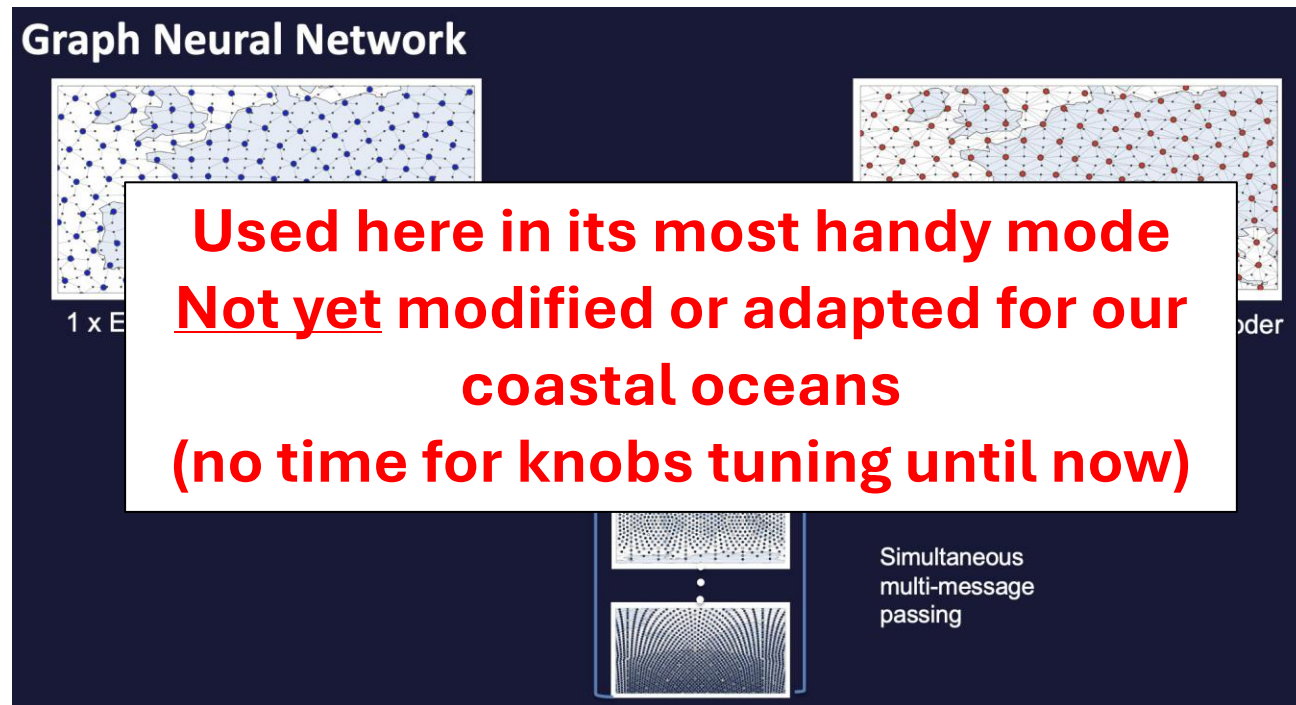
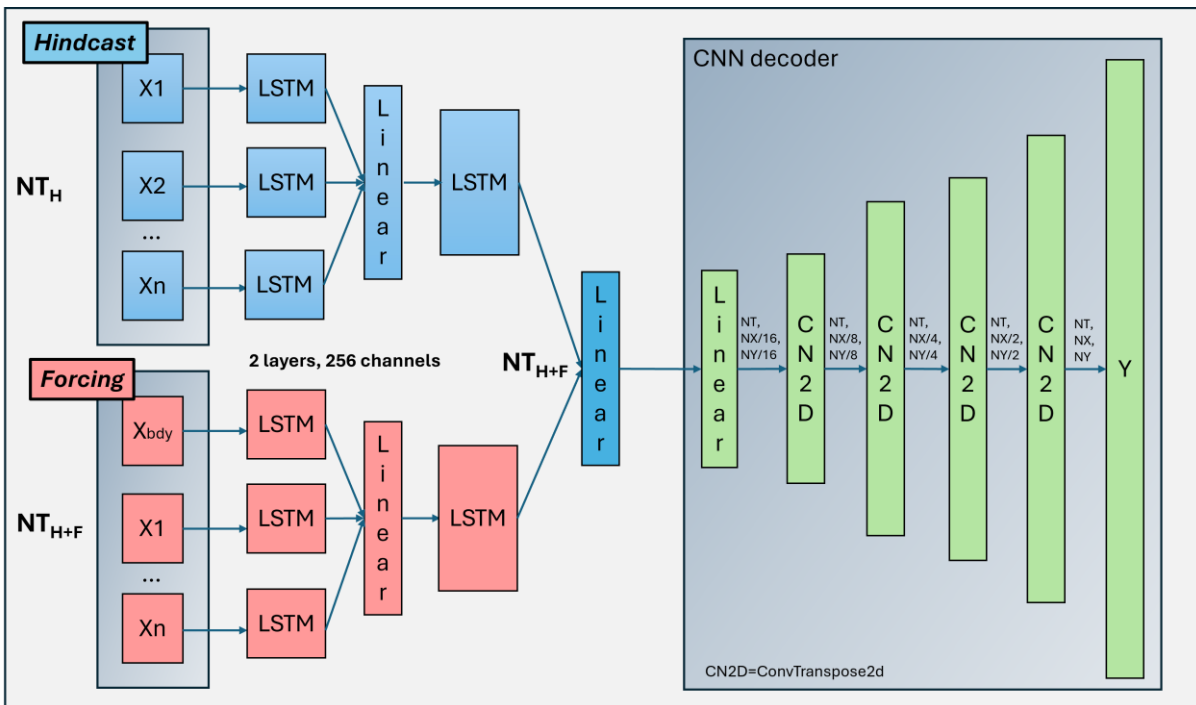
# Coverage

- Ocean model (dataset)
  - CIOPS-E (1/36, ~2km) (1994-2024)
    - Hourly: 2D surface and vertically integrated variables
    - Daily mean: other 3D variables (not yet used)
- Daily forecast with ML emulators (2022-2023)
  - 24h surface forecast (including tides) → Gulf of St. Lawrence (GSL)
- Training/inference setup
  - 1994-2016 (training, 23 years)
  - 2017-2021 (validation, 5 years)
  - 2022-2023 (test, 2 years)

# ML models

## LSTM<sub>bt</sub> (for barotropic) (in house)

## Anemoi (ECMWF)



In house  
 LSTM+CNN decoder  
 Time resolution focused (degraded inputs)  
 Not auto-regressive (friendly)  
 Heavy on memory (model size)  
 single-gpu

Community supported  
 Encoder – (GNN, Graphtransformer, Transformer w flash attention) - Decoder  
 Spatial resolution focused  
 Auto-regressive  
 Lighter on memory  
 multi-gpu

# ML models (predictors and targets)

## LST<sub>bt</sub>

## Anemoi

**Forcing (h=-95 to 24):**

- Wind stress equiv ( $U_w^2, V_w^2$ )
- St. Lawrence river runoff
- SSH (BDY)

**Forcing (h=-95 to 24):**

- Wind stress equiv ( $U_w^2, V_w^2$ )
- St. Lawrence river runoff
- SSH (not yet implemented)

**Predictors (h=-95 to 0):**

- SSH,
- U<sub>bt</sub>, V<sub>bt</sub>
- U<sub>bc</sub>, V<sub>bc</sub>
- U<sub>bc\_slow</sub>, V<sub>bc\_slow</sub>
- Rho<sub>a</sub> (density and
- RhoU<sub>bt</sub>, RhoV<sub>bt</sub> (barotropic density transport)

**Variables chosen based on the momentum equation**

$$\frac{D\mathbf{u}}{Dt} + \frac{1}{\rho_*} \nabla_h p' + g \nabla_h \eta = \mathbf{R}_u + \mathbf{F}_u$$

**or & target (h=-95 to 0,1,...):**

- RhoU<sub>bt</sub>, RhoV<sub>bt</sub>

**Target (individually) (h=-95 to 24):**

- SSH
- U<sub>bt</sub>, V<sub>bt</sub>
- U, V

**Diagnostic (target) (h=0 to 24):**

- U, V (out of error growth path)

# ML models time-stepping, 96h pre-conditioning, 24h forecast

## LST<sub>bt</sub>

Forward model:

$$Y_{t=-95...+24} = F(X_{F,t=-95...+24}, X_{P,t=-95...0})$$

$$\text{Loss} = f(Y_{t=-95...+24}, \text{MT})$$

(custom in house)

No (no

**Forward model weights coverage: -95h to +24h**

- P**: Predictors in (LST<sub>bt</sub>), **P**rognostic (Anemoi)
- D**: diagnostic
- F**: forcing
- t**: time
- MT**: model truth

to-regressive mechanics  
Rollout = 6

## Anemoi

Forward model (**multistep=96**):

$$Y_{P,D,t=+1} = F(X_{F,t=-95...0}, X_{P,t=-95...0})$$

$$Y_{P,D,t=+2} = F(X_{F,t=-95...0}, X_{P,t=-95...0}, Y_{P,t=+1})$$

**Forward model weights coverage: -95h to +1h**

error growth by design (for prognostic variables)

$$Y_{P,D,t=+6} = F(X_{F,t=-89...+5}, Y_{P,t=+5})$$

$$\text{Loss} = f(Y_{P,D,t=+1}, Y_{P,D,t=+2}, \dots, Y_{P,D,t=+6}, \text{MT})$$

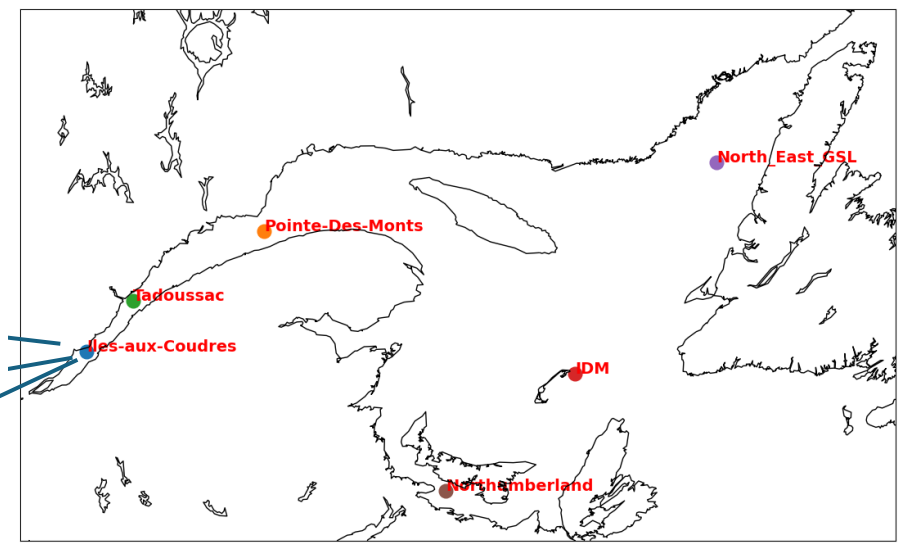
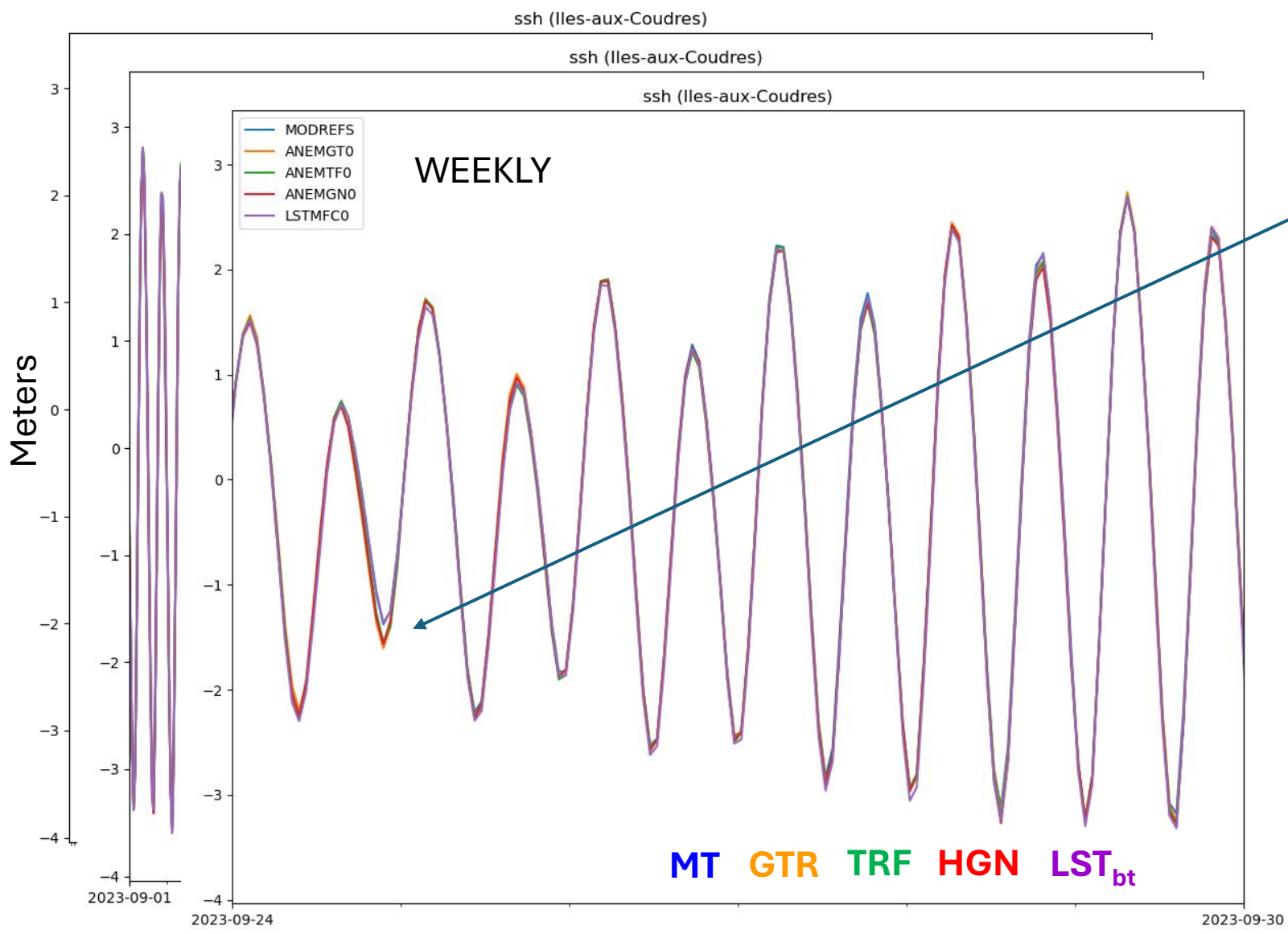
(huber loss)

# Training experiments

- Experimental setup
  - 1994-2016 (training, 23 years)
  - 2017-2021 (validation, 5 years)
  - 2022-**2023** (inference, 2 years)
- 4 experiments: *(apple to apple comparison is not really the goal for now → exploration phase)*
  - 1- **LST<sub>bt</sub>** (1 GPU, 1 variable at a time)
  - 2- Anemoi: GraphTransformer (1 processor layer) (**GTR**) (16 GPU)
  - 3- Anemoi: Transformer w/ flash attention (1 processor layer) (**TRF**) (16 GPU)
  - 4- Anemoi: GNN (hierarchical: 3 processor layers) (**HGN**) (32 GPU)
    - *No attention mechanism, but large scale spread through multi-mesh*
- Compared to CIOPS-E run « model truth » (**MT**)

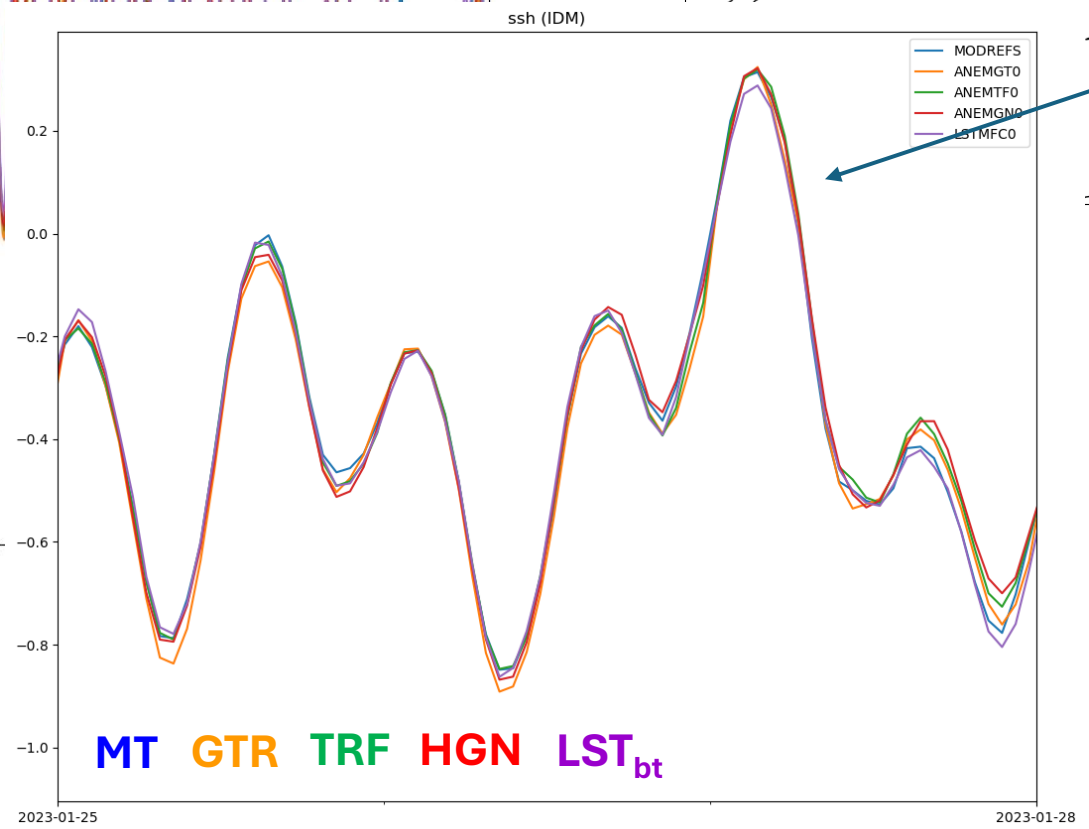
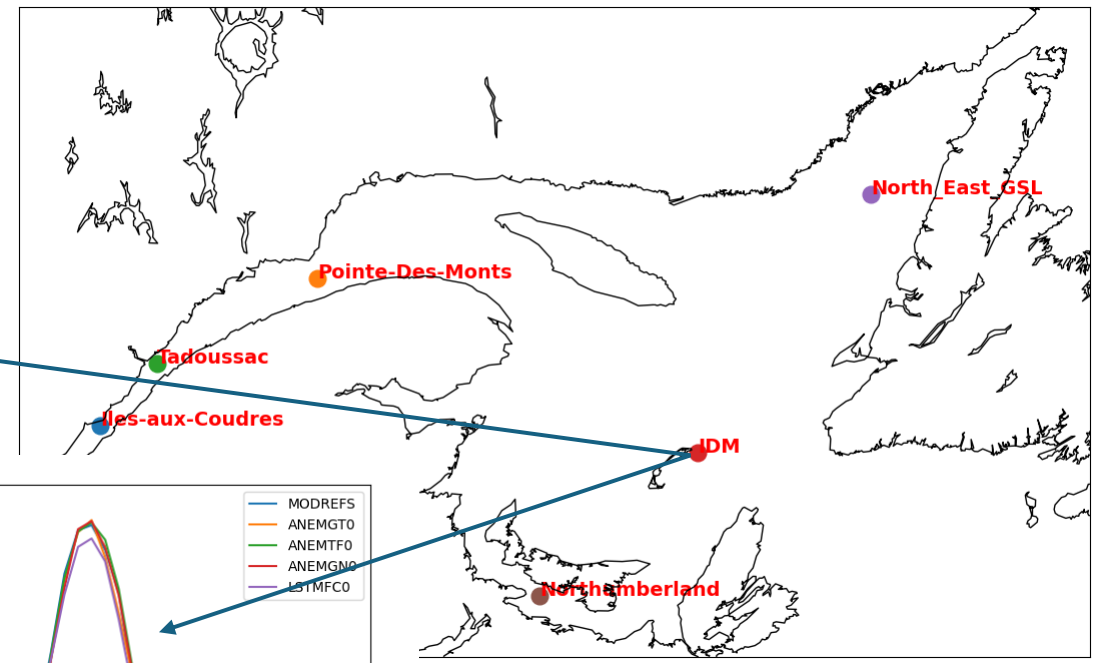
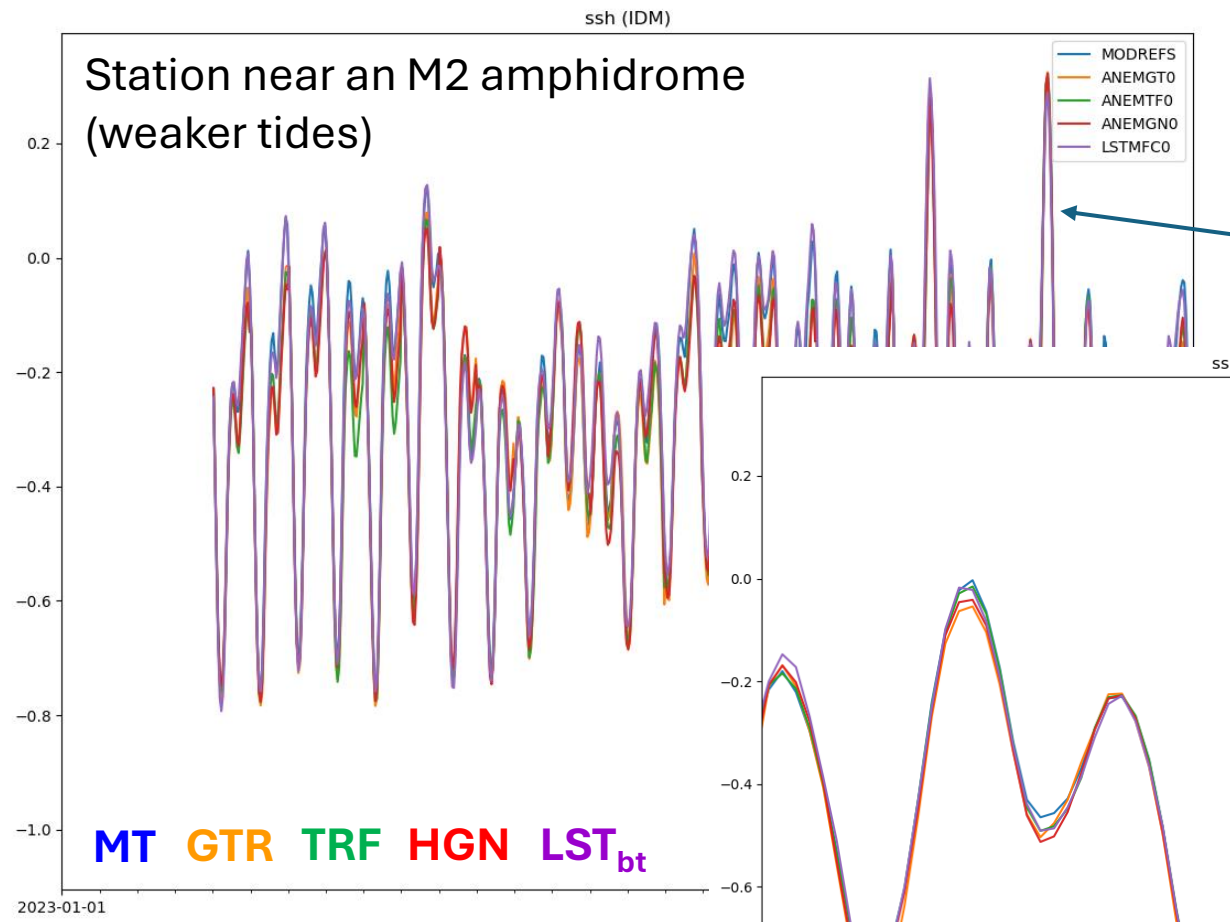
# ML results (end-to-end 0-23h forecasts, SSH)

## So can ML models emulate tides?

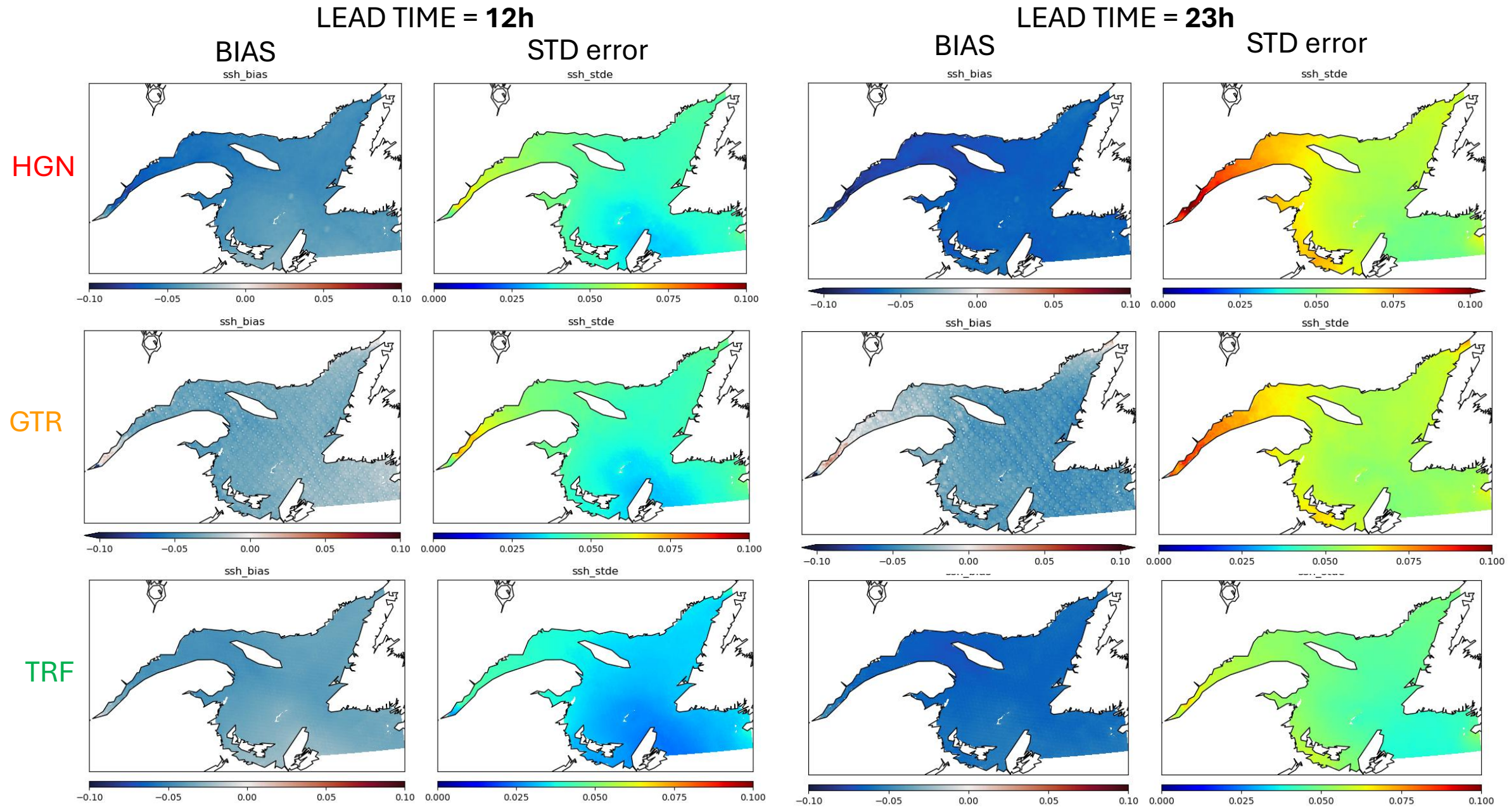


# ML results (end-to-end 0-23h forecasts, SSH)

## Surge examples



# Anemoi, SSH error stats compiled for 2023 (against MT) (error growth)



# Anemoi (U,V) norm & direction, error stats (2023)

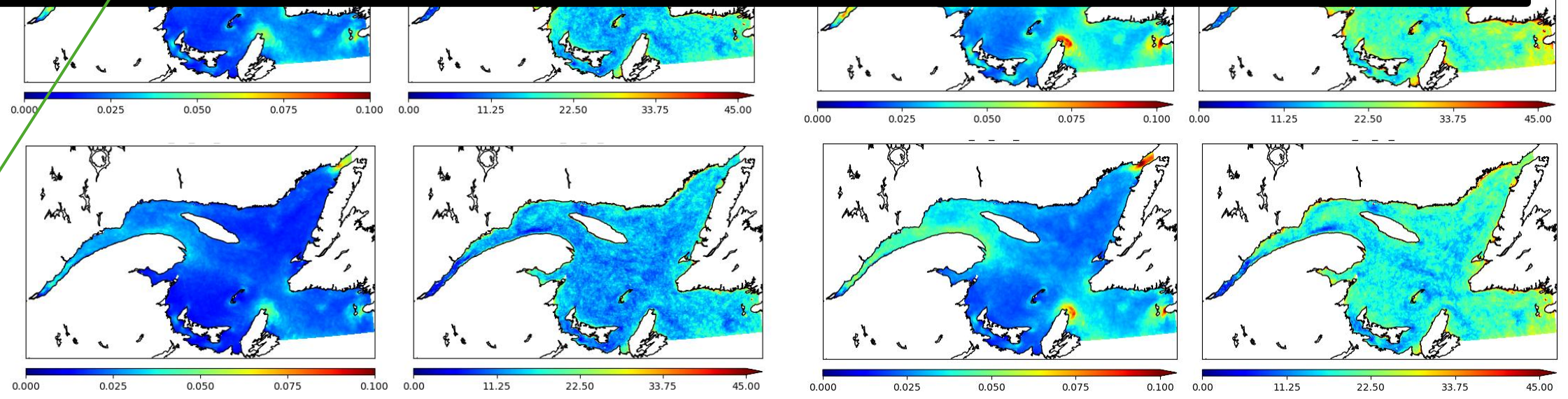
LEAD TIME = 12h  
(U,V) NORM STD E    (U,V) direction STD E (deg.)

LEAD TIME = 23h  
(U,V) NORM STD E    (U,V) direction STD E (deg.)



In the interest of time, will now focus only on **TRF (Transformer w/ flash attn)** as it performs best

TRF

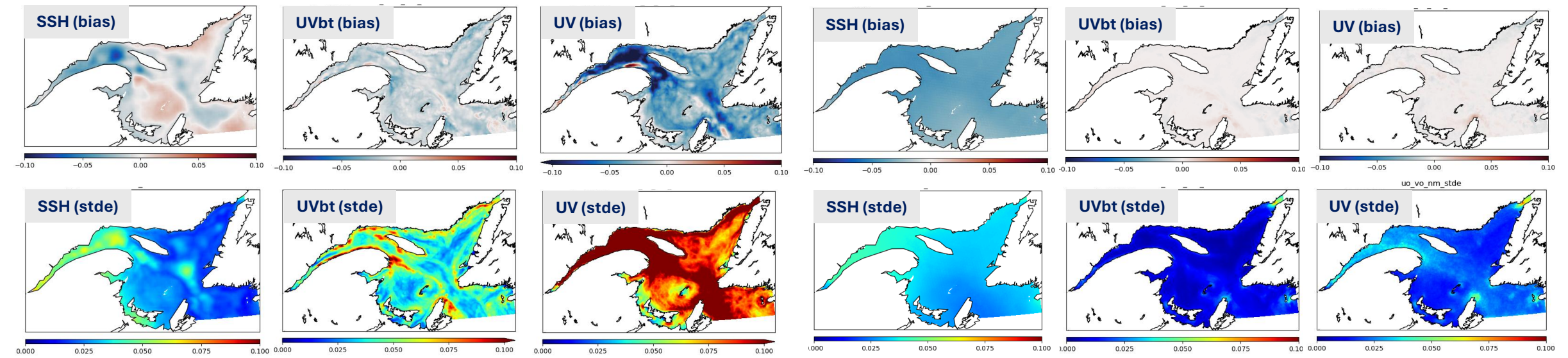


# Error stats lead time 12h vs 23h, 2023 (flash attention game!)

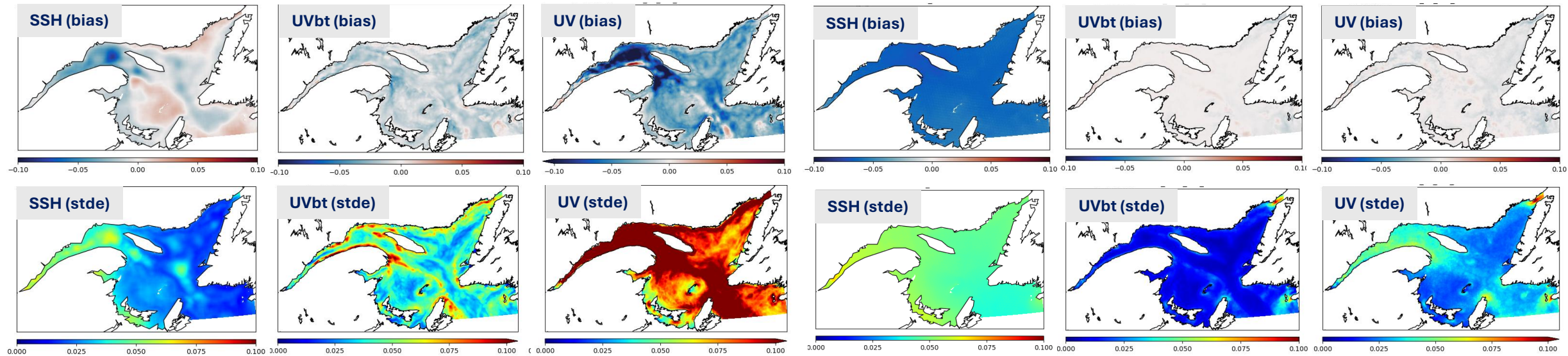
LST<sub>bt</sub>

Anemoi TRF

Lead time 12

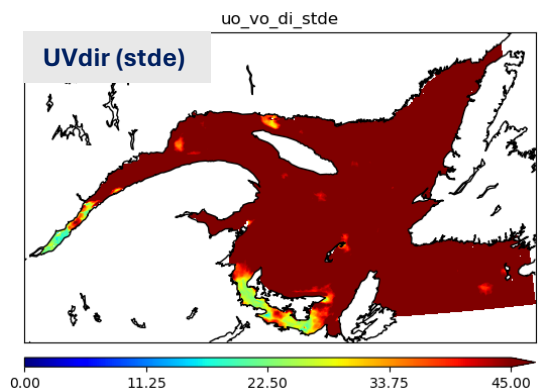
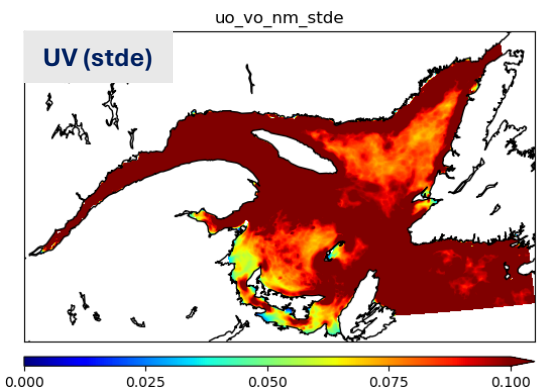
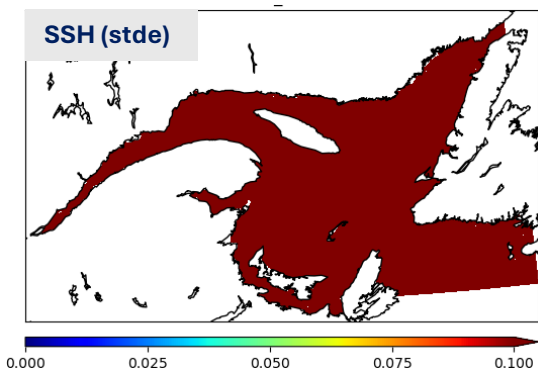


Lead time 23

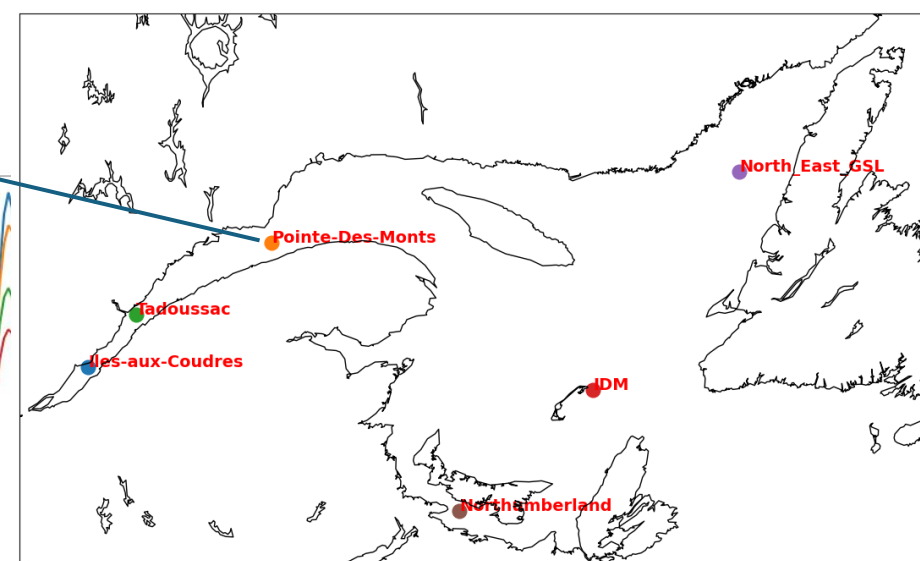
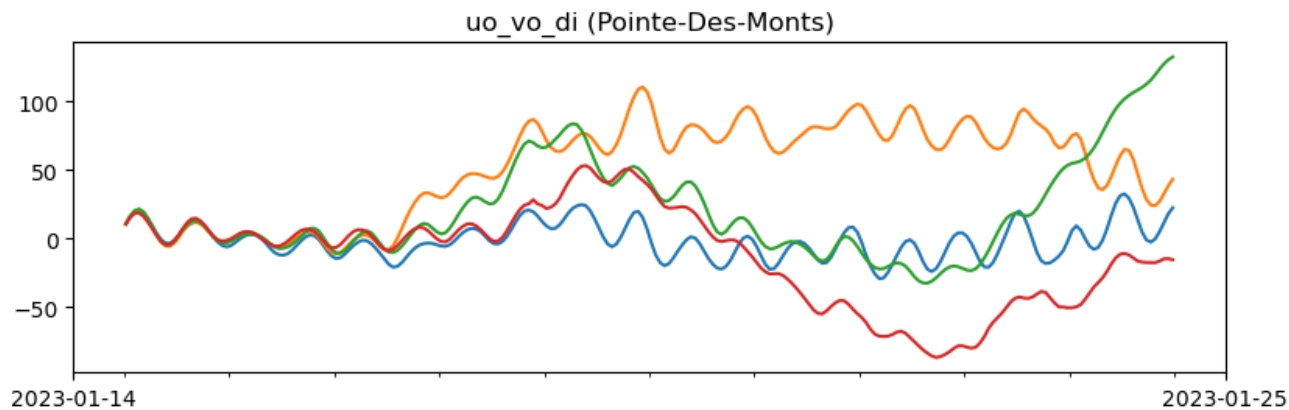
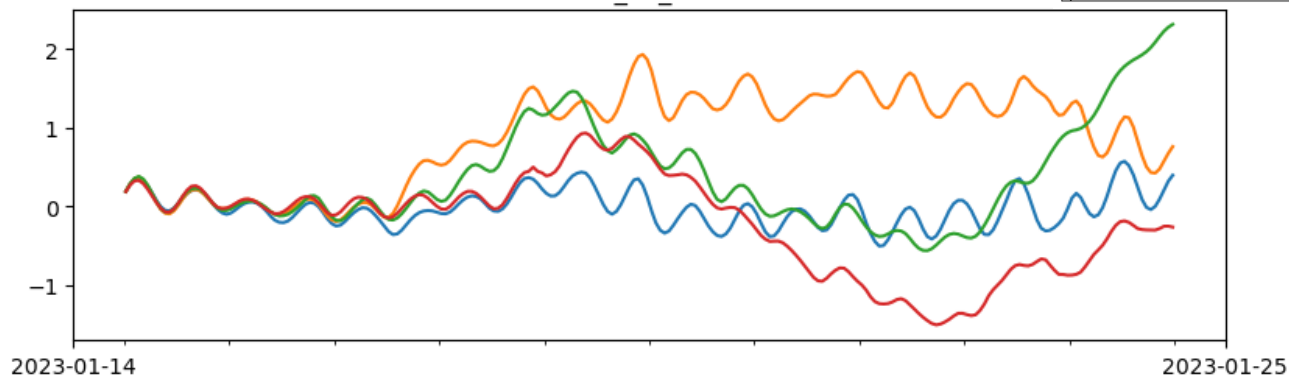
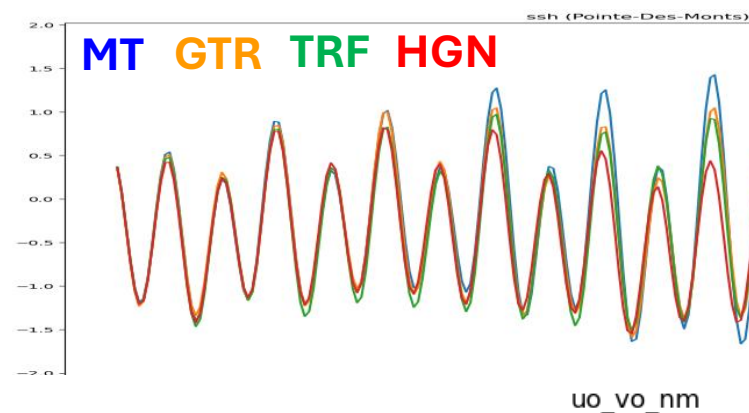


# 10 day \*pseudo-forecast experiment with Anemoui

STD E (lead time = 3 days)



Pointe-Des-Monts



\*atm forcing as in MT (short forecast, daily)

# Conclusions

Both Anemoi and LSTM capture SSH variability with tides

better spatial resolution in Anemoi but larger error growth as a function of lead time compared to  $LST_{bt}$

For current emulation, Anemoi outperforms  $LST_{bt}$

larger error in chaotic flow regions (e.g. Gaspé current)

STD error (total current) is dominated by the baroclinic component (not shown)

Error growth in Anemoi relatively uniform spatially, might be reduced with a boundary forcing constraint (w/ constrained mean sea level)

10 day forecast with Anemoi

serious degradation after 3 days lead time

# Next steps

## 1- ML results improvement

coastal ocean boundary forcing in Anemoi

hybrid approach? SSH (w/  $LST_{bt}$ ), currents (w/ Anemoi)

## 2- Forecast emulation applications

lead time > 48, ensembles, full CIOPS-E domain

3D currents

pre-training (30 years),  $U, V = F(UV_{bt}, UV_{bc}, UV_{bc\_slow}, \dots)$ , with  **$UV_{bc}=UV_{bc\_slow}$**

fine-tuning, a few years of 3D hourly outputs

## 3- More detailed evaluation

harmonic analysis, storm surge, observations, ML error versus ocean model uncertainty

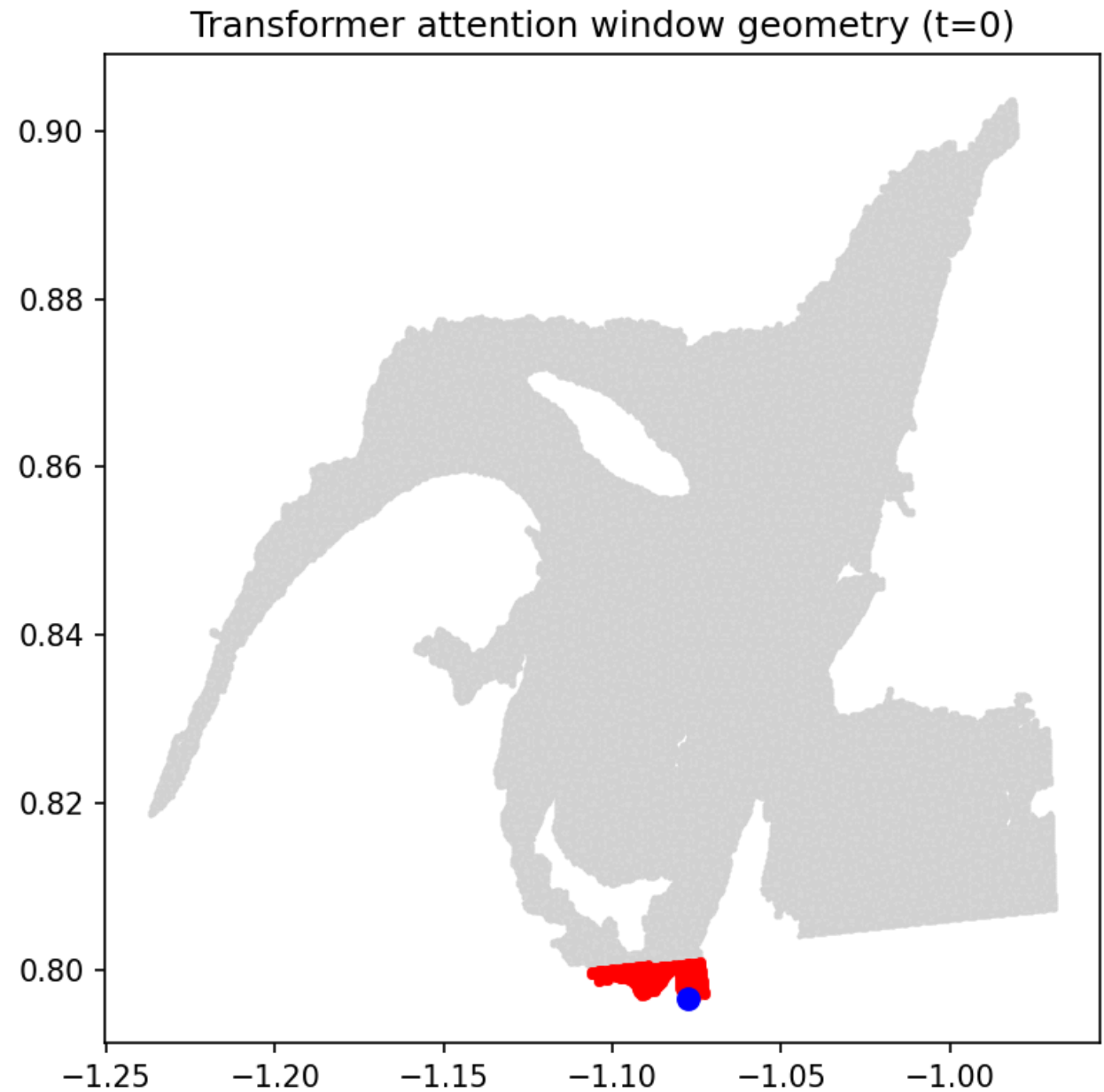
## 3- Downscaling

Twin 30 years simulations ongoing

GSL144 (1/144, ~500m)

STLE200 (200m)

# Thank you!



# Extras...

# Variability metrics

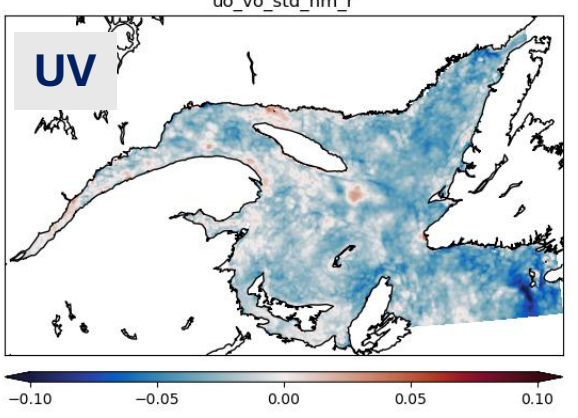
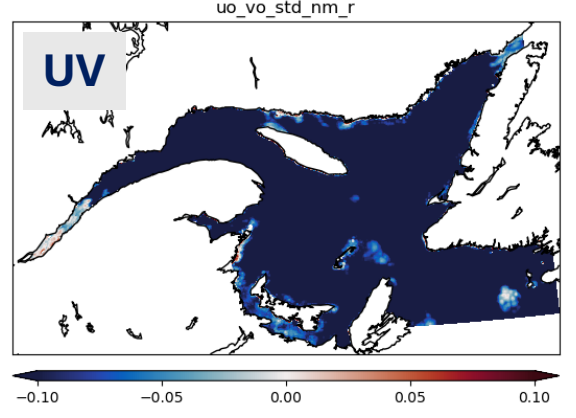
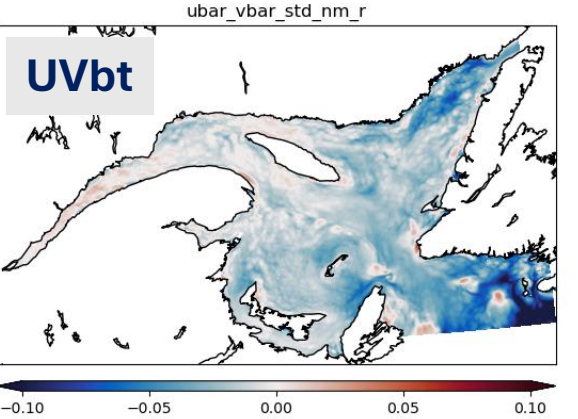
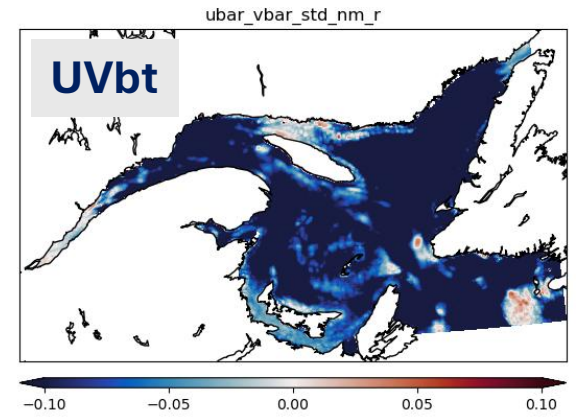
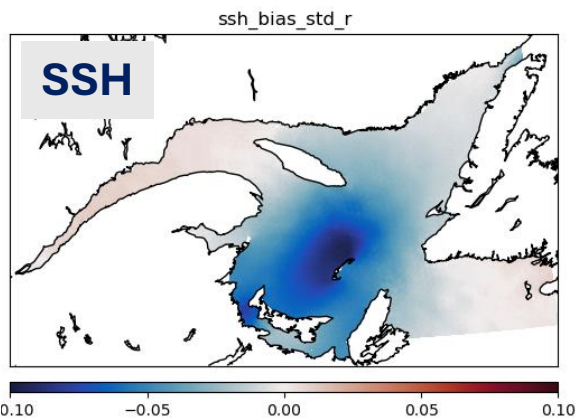
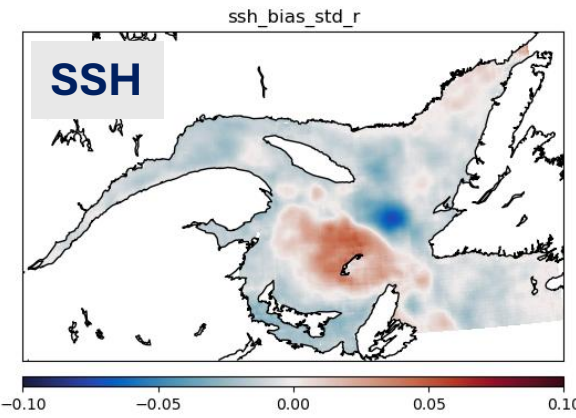
(lead time = 23h)

$$\sigma(\text{LST}_{bt} \text{ or } \text{TRF}) / \sigma(\text{MT}) - 1$$

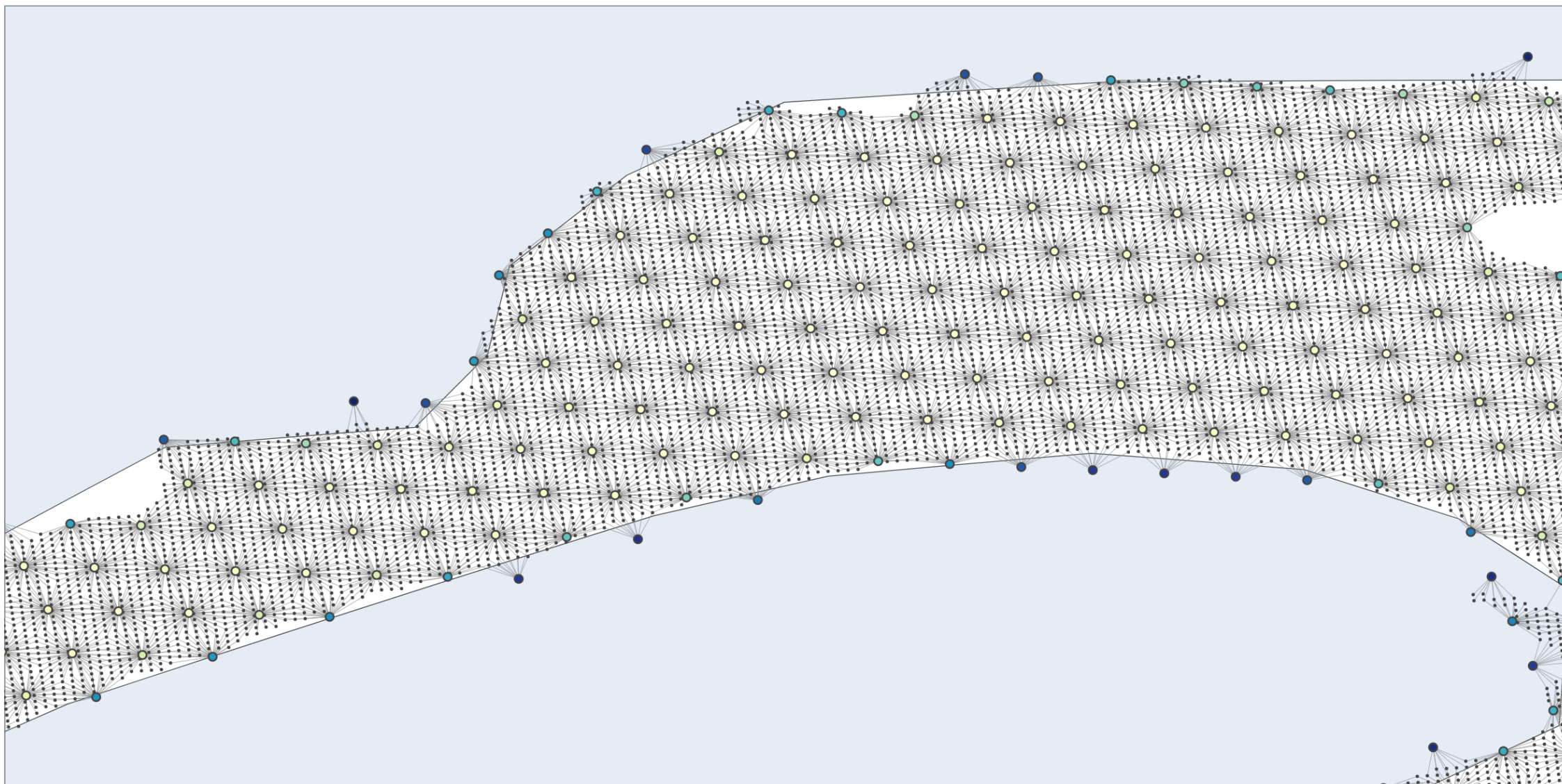
Red: too much variability  
Blue: missing variability

**LST<sub>bt</sub>**

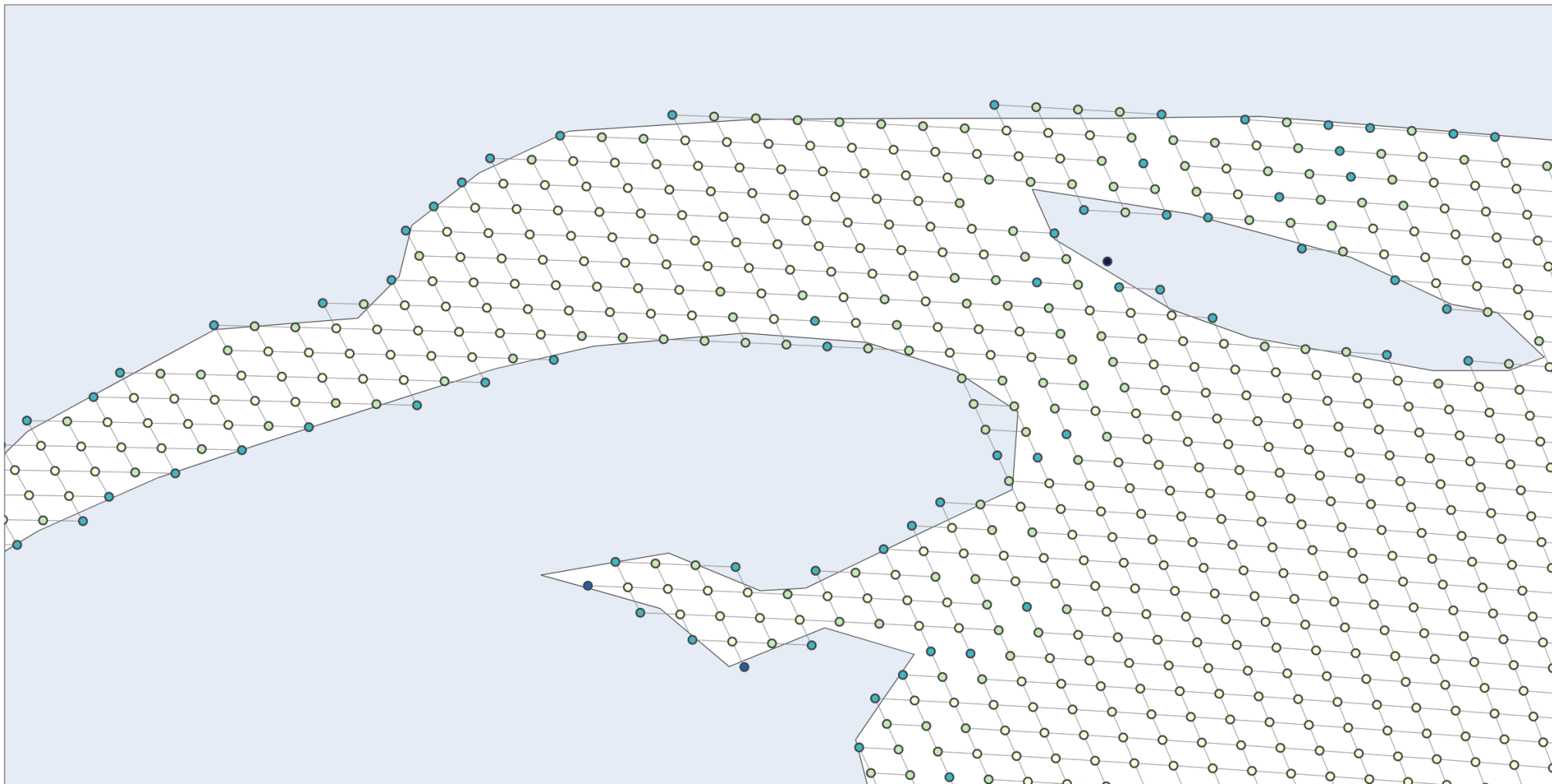
**Anemoui TRF**



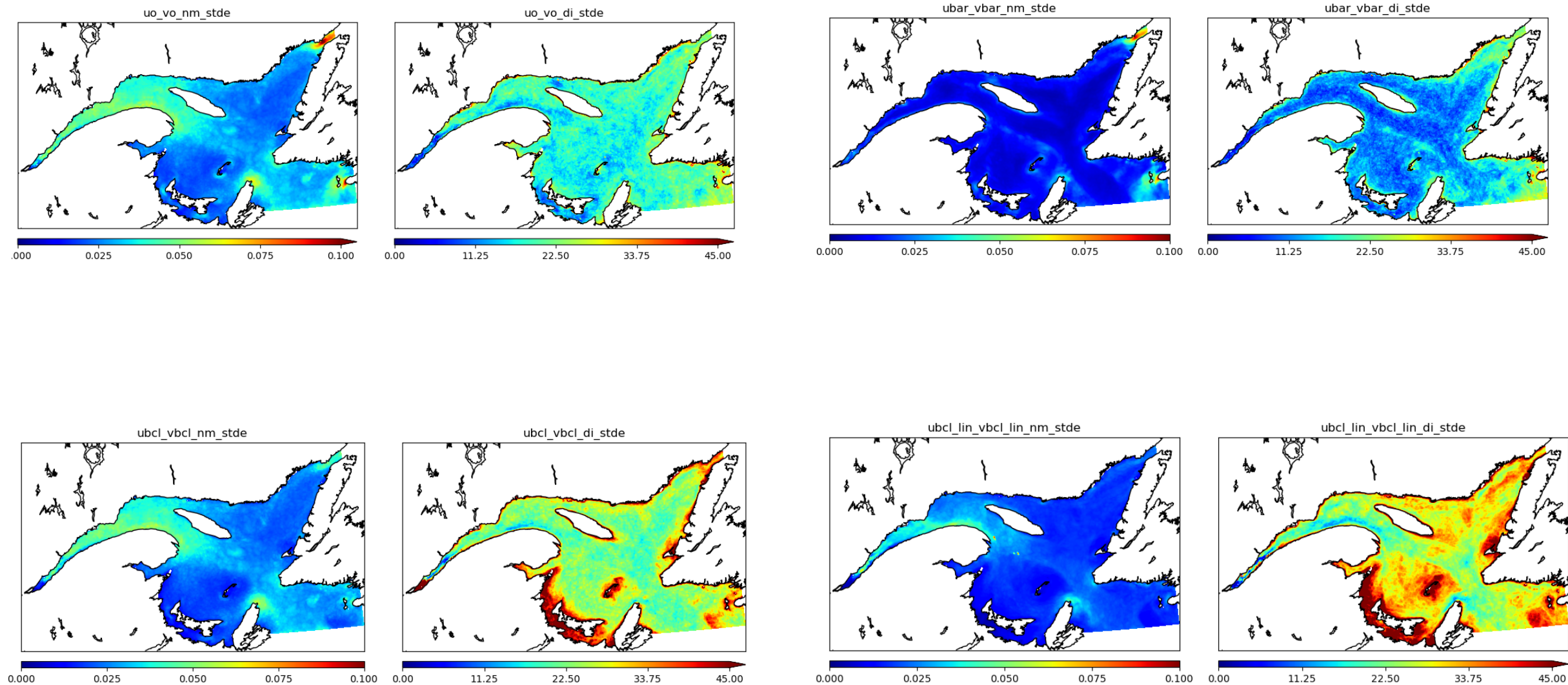
# Portion of graph encoder grid (data to hidden)



# Portion of graph encoder grid (hidden to hidden)

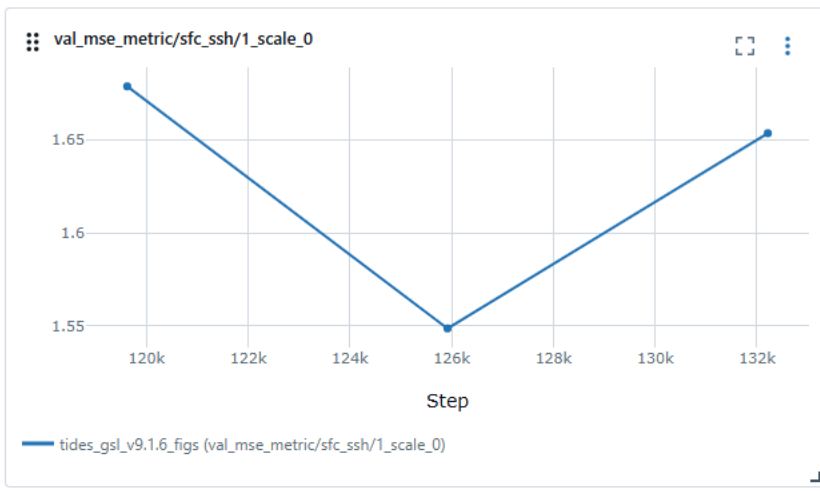


# Barotropic/Baroclinic relative error (TRF)

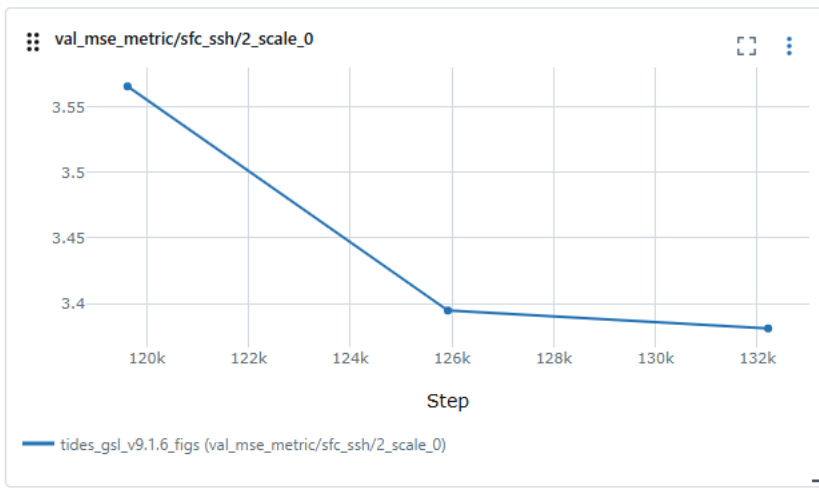


# Training error growth example (rollout=6, SSH)

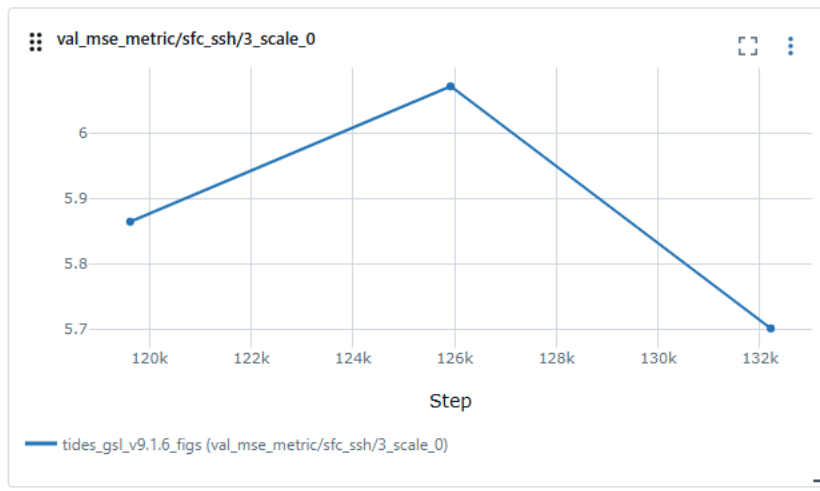
1



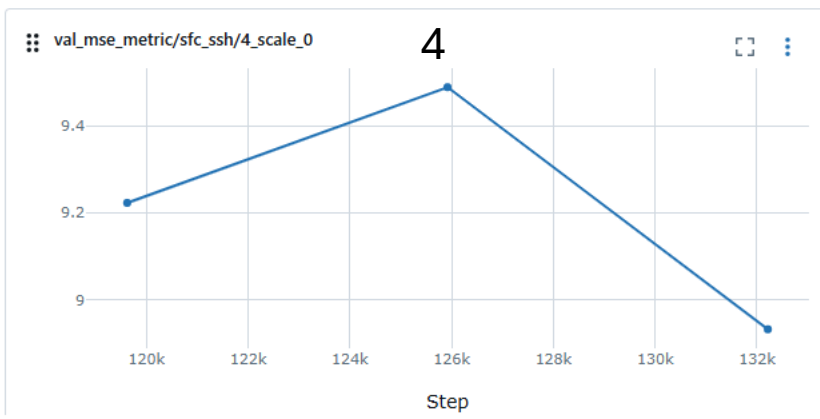
2



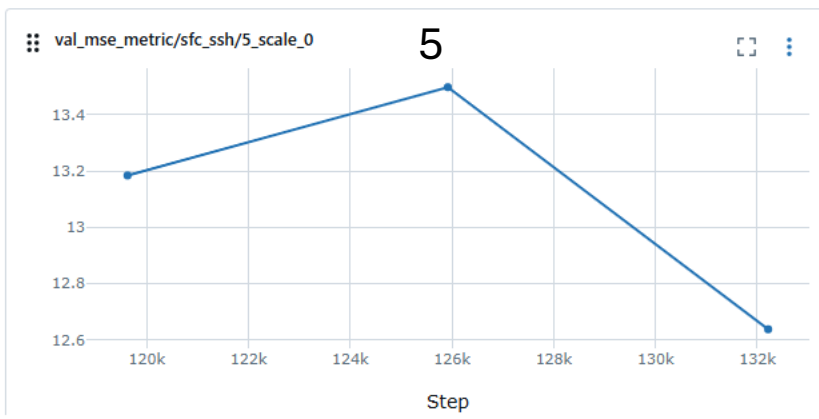
3



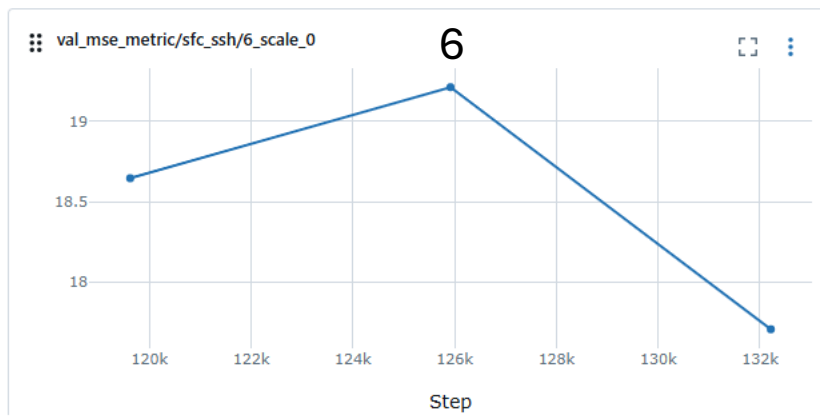
4



5



6



x 16 GPU x 2

# YAML's model override section

## GTR:

```
model:
  num_channels: 512
processor:
  _target_:
anemoi.models.layers.processor.GraphTransformerProcessor
  num_layers: 8
  num_heads: 8 # GraphTransformer or Transformer only
```

## TRF:

```
model:
  num_channels: 1024
processor:
  _target_: anemoi.models.layers.processor.TransformerProcessor
  num_layers: 16
  num_heads: 16 # GraphTransformer or Transformer only
  window_size: 512
```

## HGN:

```
model:
  model:
    _target_:
anemoi.models.models.AnemoiModelEncProcDecHierarchical
  num_channels: 512
  enable_hierarchical_level_processing: True
  level_process_num_layers: 8
processor:
  num_layers: 16
  num_chunks: 2
      (processor is GNN here..., in defaults)
```

## ALL configs:

```
training:
  gradient_clip:
    val: 1.
  algorithm: norm
```